

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
18 January 2001 (18.01.2001)

PCT

(10) International Publication Number  
**WO 01/04288 A1**

- (51) International Patent Classification<sup>7</sup>: C12N 15/10, 15/90
- (74) Agents: SILVESTON, Judith et al.; Abel & Imray, 20 Red Lion Street, London WC1R 4PQ (GB).
- (21) International Application Number: PCT/EP00/06533
- (22) International Filing Date: 10 July 2000 (10.07.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/350,830 9 July 1999 (09.07.1999) / US
- (71) Applicant (for all designated States except US): THE EUROPEAN MOLECULAR BIOLOGY LABORATORY [DE/DE]; Meyerhofstrasse 1, D-69117 Heidelberg (DE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): STEWART, Francis, A. [AU/DE]; Laerchenweg 3, D-69181 Leimen (DE). ZHANG, Youming [CN/DE]; Friedrich-Ebert-Anlage 51e, D-69117 Heidelberg (DE). MUYRERS, Joep, Pieter, Paul [NL/NL]; Kuileneindestraat 53, NL-6231 KE Meerssen (NL).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**
- With international search report.
  - Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS AND COMPOSITIONS FOR DIRECTED CLONING AND SUBCLONING USING HOMOLOGOUS RECOMBINATION

(57) Abstract: The present invention is directed to methods and compositions for DNA subcloning using bacterial recombinase-mediated homologous recombination. The invention relates to methods for cloning, compositions comprising polynucleotides useful as cloning vectors, cells comprising such polynucleotide compositions, and kits useful for cloning mediated by bacterial recombinases, such as RecE/T and Red $\alpha/\beta$ .

WO 01/04288 A1

## **METHODS AND COMPOSITIONS FOR DIRECTED CLONING AND SUBCLONING USING HOMOLOGOUS RECOMBINATION**

5

### **1. INTRODUCTION**

The present invention is directed to methods and compositions for DNA cloning and subcloning using bacterial recombinase-mediated homologous recombination. In a specific embodiment, RecE/T or Red $\alpha/\beta$  recombinases, or any functionally equivalent  
10 system for initiating bacterial homologous recombination, such as erf from phage P22, are used. In particular, the invention relates to cloning methods, diagnostic methods, compositions comprising polynucleotides useful as cloning vectors, cells comprising such polynucleotide compositions, and kits useful for RecE/T and Red $\alpha/\beta$  mediated cloning.

15

### **2. BACKGROUND OF THE INVENTION**

DNA cloning and subcloning in *E. coli* are fundamental to molecular biology. DNA cloning refers to the process whereby an origin of replication is operably linked to a double-stranded DNA fragment, and propagated in *E. coli*, or other suitable host.  
20 DNA subcloning refers to the process whereby a double-stranded DNA fragment is taken, from a DNA molecule that has already been amplified, either *in vitro*, for example by PCR, or *in vivo* by propagation in *E. coli* or other suitable host, and is then linked to an operable origin of replication. Cloning and subcloning in *E. coli* is typically performed by ligating the ends of a DNA fragment to the ends of a linearized vector containing an *E. coli* origin of  
25 replication and a selectable marker. The selectable marker is included in the vector to ensure that the newly cloned product, the plasmid containing the insert, is retained and propagated when introduced into its *E. coli* host cell.

Conventional cloning methods have certain limitations. For example, since conventional cloning requires the use of restriction enzymes, the choice of DNA fragments  
30 is limited by the availability of restriction enzyme recognition sites in the DNA region of

interest. Restriction sites must be found that cut the boundaries of, but not within, the desired DNA fragment. Since most useful restriction enzymes cut fairly frequently, the size of the linear DNA fragment made is also limited.

The increasing use of the polymerase chain reaction (PCR) for generating DNA fragments presents a second major drawback to conventional subcloning. The ends of PCR products are inefficient in ligation reactions due to non-templated nucleotides added to the 3' termini of amplified PCR products by thermostable polymerase. Furthermore, the use of PCR entails a high risk of mutations. Thus, molecular biologists have searched for new, more effective methods for cloning fragments of DNA, particularly when such fragments are longer than those conveniently accessible by restriction enzyme or PCR methodologies.

Homologous recombination is an alternative approach for cloning and subcloning DNA fragments. Methods for subcloning PCR products in *E. coli* that exploit the host's homologous recombination systems have been described (Oliner *et al.*, 1993, Nucleic Acids Res. 21:5192-97; Bubeck *et al.*, 1993, Nucl. Acids. Res. 21:3601-3602). In such methods, PCR primers, designed to contain terminal sequences homologous to sequences located at the ends of a linearized vector, are used to amplify a DNA fragment of interest. The PCR product and the linearized vector are then introduced into *E. coli*. Homologous recombination within the *E. coli* host cell results in insertion of the PCR product sequences into the plasmid vector. Although these methods have been shown to be useful for subcloning PCR fragments, they have not been applied to subcloning long DNA fragments, or to cloning DNA fragments of any size.

Another method describes an *in vivo* subcloning method in which two linear DNA molecules, one of which has an origin of replication, and which have long regions of homology at their ends, are used to transform an *E. coli sbcBC* host cell. Homologous recombination occurs *in vivo*, and results in circularization and propagation of the newly formed plasmid (Degryse, 1996, Gene 170:45). Subsequently, the ability of *E. coli sbcBC* host cells to mediate homologous recombination has been applied to subcloning large DNA fragments from adenovirus and herpes virus genomic DNAs (Chartier *et al.*, 1996, J. Virol. 70: 4805; Messerle, *et al.*, 1997, Proc. Natl. Acad. Sci. USA 94, 14759-14763; He, 1998,



Proc. Natl Acad. Sci. USA 95:2509-2514). As described, each subcloning by homologous recombination in *E. coli sbcBC* host cells requires at least two preparatory subcloning steps to position long homology regions either side of an *E. coli* origin of replication. Furthermore, DNA cloning in *E. coli sbcBC* strains has not been described.

5                   Recently, homologous recombination, mediated by either RecE/RecT (RecE/T) or Red $\alpha$ /Red $\beta$  (Red $\alpha$ / $\beta$ ) has been shown to be useful for manipulating DNA molecules in *E. coli* (Zhang et al, 1998, Nature Genetics, 20, 123-128; Muyrers et al., 1999, Nucleic Acids Res. 27: 1555-1557). These papers show that, in *E. coli*, any intact, independently replicating, circular DNA molecule can be altered by RecE/T or Red $\alpha$ / $\beta$  mediated homologous recombination with a linear DNA fragment flanked by short regions of DNA sequence identical to regions present in the circular molecule. Integration of the linear DNA fragment into the circular molecule by homologous recombination replaces sequences between its flanking sequences and the corresponding sequences in the circular DNA molecule.

15                   Citation of a reference herein shall not be construed as an admission that such is prior art to the present invention.

### 3. SUMMARY OF THE INVENTION

20                   The present invention provides methods and compositions for DNA cloning and subcloning using bacterial recombinase-mediated homologous recombination. The bacterial recombinase is preferably RecE/T and/or Red $\alpha$ / $\beta$ . Methods can be used to clone, subclone, propagate, and amplify a polynucleotide or mixture of polynucleotides of interest using a vector comprising short regions of DNA homologous to sequences flanking a designated target DNA sequence of interest and an origin of replication.

25                   In one embodiment, the invention provides a method for introducing a double-stranded target DNA into a vector comprising culturing a bacterial cell that expresses a functional recombinase, said bacterial cell containing (a) the target DNA comprising a first double-stranded terminus and a second double-stranded terminus, and (b) a vector DNA comprising, in the following order along the vector DNA strand: (i) a first

double-stranded homology arm (ii) an origin of replication; and (iii) a second double-stranded homology arm, such that the sequence of a vector DNA strand of the first homology arm is homologous to the sequence of a target DNA strand of the first terminus, and the sequence of a vector DNA strand of the second homology arm is homologous to the  
5 sequence of the target DNA strand of the second terminus, such that the target DNA is inserted into the vector DNA between the homology arms.

In another embodiment, a method is provided for making a recombinant DNA molecule comprising: a) introducing a double-stranded vector into a cell, said cell containing a double-stranded target DNA and expressing a bacterial recombinase, said  
10 vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, one strand of the origin of replication, and a second homology arm; said target DNA comprising a target DNA sequence and two termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, the target DNA sequence, and a second terminus, such that the sequence of  
15 the first homology arm on said vector DNA strand is homologous to the sequence of the first terminus on said target DNA strand, and the sequence of the second homology arm on said vector DNA strand is homologous to the sequence of the second terminus on said target DNA strand; and b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

20 In another embodiment, a method is provided for making a recombinant DNA molecule comprising: a) introducing a double-stranded vector and first and second double-stranded oligonucleotides into a cell, said cell containing a double-stranded target DNA and expressing a bacterial recombinase, said vector comprising an origin of replication and two double-stranded homology arms, in the following order from 5' to 3'  
25 along a vector DNA strand: a first homology arm, the origin of replication, and a second homology arm; said target DNA comprising a target DNA sequence and two double-stranded termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus; said first oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3'  
30 to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide

sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of the first terminus on said target DNA strand; said second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5', a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of the second terminus on said target DNA strand; and b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

10 In another embodiment, a method is provided for making a recombinant DNA molecule comprising: a) introducing a double-stranded target DNA molecule into a cell, said cell containing a vector and expressing a bacterial recombinase, said target DNA comprising a target DNA sequence and two double-stranded termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a  
15 second terminus; said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the sequence of the first homology arm on said vector DNA strand is homologous to the sequence of the first terminus on said target DNA strand, and the sequence of the second homology arm on said  
20 vector DNA strand is homologous to the sequence of the second terminus on said target DNA strand; and b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

In another embodiment, a method is provided for making a recombinant DNA molecule comprising: a) introducing a double-stranded target DNA molecule and a  
25 first and second double-stranded oligonucleotide into a cell, said cell containing a vector and expressing a bacterial recombinase, said target DNA comprising a target DNA sequence and two termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus; said first oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3'  
30 to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide

sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of the first terminus on said target DNA strand; said second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5', a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of the second terminus on said target DNA strand; and said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; and b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

In another embodiment, a method is provided for making a recombinant DNA molecule comprising: a) introducing a double-stranded vector and a double-stranded target DNA into a cell expressing a bacterial recombinase, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm, said target DNA comprising a target DNA sequence and two termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence; and a second terminus; such that the nucleotide sequence of the first homology arm on said vector DNA strand is homologous to the nucleotide sequence of the first terminus on said target DNA strand, and the nucleotide sequence of the second homology arm on said vector DNA strand is homologous to the sequence of the second terminus on said target DNA strand; and b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

In a specific embodiment of this method the host cell further contains a nucleotide sequence encoding a site-specific recombinase operatively linked to a promoter, and the vector further comprises a first and second recognition site for the site-specific recombinase, a first recognition site located outside the first and second homology arms, and a second site-specific recombinase recognition site located inside the first and second

homology arms; and during or after step b), inducing expression of the site-specific recombinase.

In another specific embodiment of this method, the host cell further contains a nucleotide sequence encoding a site-specific endonuclease operatively linked to a promoter, and the vector further comprises a recognition site for the site-specific endonuclease located inside the first and second homology arms; and during or after step b), inducing expression of the site-specific endonuclease.

In another embodiment, the inventions provides a method for making a recombinant DNA molecule comprising: a) introducing a double-stranded vector, a double-stranded target DNA molecule, and a first and second double-stranded oligonucleotide into a cell expressing a bacterial recombinase, said vector comprising an origin of replication and two double-stranded homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; said target DNA comprising target DNA sequence and two double-stranded termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus; said first oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the sequence of the first terminus on said target DNA strand; said second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5', a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of the second terminus on said target DNA strand; and b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

In a specific embodiment of this method, the host cell further contains a nucleotide sequence encoding a site-specific recombinase operatively linked to a promoter, and the vector further comprises a first and second recognition site for the site-specific

recombinase, a first recognition site located outside the first and second homology arms, and a second site-specific recombinase recognition site located inside the first and second homology arms; and during or after step b), inducing expression of the site-specific recombinase.

5 In another specific embodiment of this method, wherein the host cell further contains a nucleotide sequence encoding a site-specific endonuclease operatively linked to a promoter, and the vector further comprises a recognition site for the site-specific endonuclease located inside the first and second homology arms; and during or after step b), inducing expression of the site-specific endonuclease.

10 In specific embodiments the vector further comprises a selectable marker located outside the homology arms, such that the vector comprises, in either of the following two orders from 5' to 3' along a vector DNA strand: i) the first homology arm, the selectable marker, the origin of replication and the second homology arm, or ii) the first homology arm, the origin of replication, the selectable marker, and the second homology  
15 arm. In a specific embodiment, the selectable marker confers antibiotic resistance to the cell containing the vector.

In various specific embodiments, the bacterial recombinase is RecE/T or Red $\alpha$ / $\beta$  recombinase or both RecE/T and Red $\alpha$ / $\beta$ . In other specific embodiments, the cell is a bacterial cell. In other specific embodiments, the cell is an *E. coli* cell. In other specific  
20 embodiments, the cell eukaryotic cell that recombinantly expresses RecE/T and/or Red $\alpha$ / $\beta$  protein. In other specific embodiments, the method further comprises isolating a recombinant DNA molecule that comprises the target DNA inserted into the vector.

In another embodiment, the invention provides a double-stranded DNA vector useful for directed cloning or subcloning of a target DNA molecule of interest, said  
25 vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a first vector DNA strand is homologous to the sequence of the first terminus on a first target  
DNA strand, and the nucleotide sequence of the second homology arm on the first vector  
30 DNA strand is homologous to the nucleotide sequence of the second terminus on the first

target DNA strand. In a specific embodiment of the vector, the origin of replication is a bacterial origin of replication. In another specific embodiment, the origin of replication functions in *E. coli*. In another specific embodiment, the origin of replication functions in a mammalian cell.

5           The invention further provides a cell comprising a double-stranded DNA vector useful for directed cloning or subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a  
10 first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand. In a specific embodiment, the cell is a bacterial cell.

          The invention further provides a kit useful for directed cloning or subcloning  
15 of a target DNA molecule comprising in one or more containers: a) a double-stranded DNA vector useful for directed cloning or subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a  
20 first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand; and b) a cell containing a bacterial recombinase. In a specific embodiment of the kit, the homology arms have sequence homology to a BAC, PAC,  
25 lambda, plasmid or YAC based cloning vector. In another specific embodiment of the kit, the first and second double-stranded oligonucleotide have nucleotide sequence homology to a BAC, PAC, lambda, plasmid or YAC based cloning vector.

          In another embodiment, a kit useful for directed cloning or subcloning of a target DNA molecule is provided comprising in one or more containers: a) a double-  
30 stranded DNA vector useful for directed cloning and subcloning of a target DNA molecule

of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; b) a first double-stranded oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first sequence and a second sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of a first terminus on a target DNA strand; c) a second double-stranded oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5': a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of a second terminus on said target DNA strand; and d) a cell containing a bacterial recombinase. In a specific embodiment of the kit, the cell is an *E. coli* cell. In another specific embodiment of the kit, the cell is a frozen cell competent for uptake of DNA.

In another embodiment, the invention provides a kit useful for directed cloning or subcloning of a target DNA molecule comprising in one or more containers: a) a double-stranded DNA vector useful for directed cloning and subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; b) a first double-stranded oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of a first terminus on a target DNA strand; and c) a second double-stranded oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5': a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second



homology arm on said vector DNA strand and said fourth sequence being homologous to the nucleotide sequence of a second terminus on said target DNA strand. In a specific embodiment of the kit, the DNA vector is purified. In another embodiment of the kit, the DNA vector, the first double-stranded oligonucleotide, and the second double-stranded oligonucleotide are purified.

5 In other specific embodiments of kits provided by the invention, the target DNA molecule comprises bacterial, viral, parasite, or protozoan DNA. In other specific embodiments, the target DNA molecule comprises a genetic mutation or polymorphism known or suspected to be associated with a disorder or disease. In other specific

10 embodiments, the bacterial recombinase is RecE/T or Red $\alpha$ / $\beta$  recombinase or both RecE/T and Red $\alpha$ / $\beta$  recombinases.

The methods of the invention may be used in diagnostics. For example, plasmids or linear DNA fragments may be designed to capture a specific DNA target to detect its presence in a sample from a subject e.g., a viral DNA present in a patient's

15 sample. In one embodiment, the invention provides methods for detection of target DNA known or suspected to be associated with a disorder or disease when genetically mutated. In specific embodiments, the target DNA is a bacterial, viral, parasite, or protozoan DNA. In a specific embodiment, a method is provided which further comprise detecting a recombinant DNA molecule that comprises the target DNA inserted into the vector. In

20 another embodiment, the method further comprises detecting a recombinant DNA molecule that comprises the target DNA inserted into the vector.

In another embodiment, the invention provides a method of detecting the presence of an infectious agent wherein the target DNA is derived from a patient suspected of having the infectious disease, and the sequences of the first and second homology arms

25 are homologous to the sequences present in DNA of the infectious agent. In a specific embodiment, the target DNA is derived from a patient suspected of having the infectious disease, and said second and fourth nucleotide sequences are homologous to sequences present in DNA of the infectious agent. In other specific embodiments, the infectious agent is a virus, bacteria, protozoa, fungus, or parasite.

In another embodiment, a method is provided for detecting the presence of a genetic condition, disease, disorder, or polymorphic trait, wherein the target DNA is derived from a patient suspected of having a genetic condition, disease, disorder, or polymorphic trait, and the sequence of the first homology arm is homologous to the sequence upstream from a site known or suspected to be associated with the genetic condition, disease, disorder, or polymorphic trait, and the sequence of the second homology arm is homologous to the sequence downstream from a site known or suspected to be associated with the genetic condition, disease, disorder, or polymorphic trait. In a specific embodiment, a method is provided for detecting the presence of a genetic condition, genetic disease, genetic disorder, or polymorphic trait wherein the target DNA is derived from a patient suspected of having the genetic condition, genetic disease, genetic disorder, or polymorphic trait, and the sequence of the first double-stranded oligonucleotide is homologous to the sequence upstream from a site known or suspected to be associated with the genetic condition, genetic disease, genetic disorder, or polymorphic trait, and the sequence of the second double-stranded oligonucleotide is homologous to the sequence downstream from a site known or suspected to be associated with the genetic condition, genetic disease, genetic disorder, or polymorphic trait. In a specific embodiment, the genetic condition, genetic disease, genetic disorder, or polymorphic trait is or predisposes the patient to cancer, asthma, arthritis, drug resistance, drug toxicity, or a neural, neuropsychiatric, metabolic, muscular, cardiovascular, or skin condition, disease or disorder.

#### 4. DESCRIPTION OF THE FIGURES

**Figure 1A-C. Components of the homologous recombination cloning and subcloning methods.**

**A. The vector, comprising an origin of replication (origin), a selectable marker (Sm), and two homology arms (labeled A and B).**

B. Optional double-stranded oligonucleotide adaptors. Each adaptor comprises a region of homology (labeled A' and B') to the homology arms (A and B, respectively); and a second region of homology (labeled C' and D') to a terminus of the target DNA (respectively labeled C and D).

5 C. The target DNA. The terminal nucleotide sequences of the target DNA (C and D) can either be homologous to nucleotide sequences of one of the homology arms of the vector (respectively labeled A and B), or to nucleotide sequences of the optional adaptor oligonucleotides (respectively labeled C' and D').

10 **Figure 2.** Experimental outline of Approach 1. The vector for subcloning by homologous recombination is introduced, e.g., by transformation, into an *E. coli* host within which the target DNA and RecE/T or Red $\alpha$ / $\beta$  proteins are already present. The diagram shows a linear DNA molecule carrying an *E. coli* replication origin, and a selectable marker gene (Sm), which is preferably a gene whose product confers resistance to  
15 an antibiotic, flanked by "homology arms". The homology arms, are shown as thick grey blocks at the ends of the linear DNA molecule, are short regions of sequence homologous to two regions in the target DNA that flank the DNA region to be subcloned, called target DNA termini, are shown as thick lines flanked by the homology arms. After transformation, selection for expression of the Sm gene is imposed to identify those cells  
20 that contain the product of homologous recombination between the homology arms of the linear DNA molecule and the target.

**Figure 3.** Diagrammatic representation of Approach 2. The approach is similar to that used in Figure 1, except in this case the target DNA molecule is not already  
25 present in the *E. coli* host, but, rather, is co-introduced with the linear DNA vector molecule. The target DNA can be any source, either, as illustrated, a mixture from which the DNA region of interest is cloned, or a highly enriched DNA molecule from which the DNA region is subcloned. As in Figure 1, the homology arms are shown in thick grey blocks.

30

Figure 4. Diagrammatic representation of an example of Approach 3. The cloning or subcloning vector includes an *E. coli* origin of replication and a selectable marker gene (Sm) flanked by two short homology arms, shown as thick grey blocks. Additionally, the vector includes two recombination target sites (SSRTs) one of which is between the origin and the selectable marker gene. Most simply, the vector is constructed first as a linear DNA fragment as shown in the figure. Upon circularization, the second SSRT is located between the homology arms oriented as a direct repeat with respect to the first SSRT, so that site-specific recombination between the two SSRTs results in the production of two different circular molecules, thereby separating the origin and the selectable marker gene. The circularized vector is transformed into an *E. coli* strain within which RecE/T or Red $\alpha$ / $\beta$  proteins is expressed, or can be expressed. The *E. coli* strain also carries an inducible site-specific recombinase (SSR) gene, the product of which recognizes the SSRTs in the vector so that site-specific recombination between the SSRTs does not occur until the site-specific recombinase gene is induced for expression. The *E. coli* cells carrying the vector and the regulated site-specific recombinase gene are prepared so that they contain RecE/T or Red $\alpha$ / $\beta$  proteins and are competent for transformation. DNA molecules containing the region to be cloned is then introduced into a host cell. After homologous recombination between the homology arms, expression of the site-specific recombinase protein is induced and selection for expression of the selectable marker gene is imposed. Before site-specific recombination, cells will contain either unrecombined vector carrying two SSRTs or the intended homologous recombination product which carries only one SSRT, since homologous recombination results in deletion of the SSRT located between the homology arms. After expression of the site-specific recombinase is induced, and selection for expression of the selectable marker is imposed, cells containing the product of homologous recombination will survive, since this product is no longer a substrate for site-specific recombination.

Figure 5. Use of adaptor oligonucleotides for cloning and subcloning by RecE/T or Red $\alpha$ / $\beta$  homologous recombination. The diagram illustrates a variation of Approach 2, shown in Figure 3, above. Two adapter oligonucleotides each contain two

regions of homology, one to one of the homology arms of the vector and a second region of homology to one of the two termini of the target DNA region of interest. Circularization of the vector and cloning of the DNA region of interest is accomplished by homologous recombination between the vector and the adapters and between the adapters and the target DNA. In this embodiment the vector and the target DNA do not share sequence homology. Thus, the same vector may be used to clone or subclone different target DNAs by using target-specific adaptor oligonucleotides for each target DNA. Adapter oligonucleotides can also be used in the methods of Approaches 1 and 3, as outlined in Figures 1 and 3, above.

Figure 6. An ethidium bromide stained agarose gel depicting DNA digested with EcoRI isolated from 9 independent colonies (lanes 1-9) obtained from the mAF4 BAC experiment. Lane M, 1kb DNA size standards (BRL, Bethesda, MD). Lane 10, EcoRI digestion of the starting vector. The experiment is described in detail in the Example in Section 6.

15

Figure 7A-B. Cloning of a DNA region from a total yeast genomic DNA.

A. A PCR fragment made to amplify the p15A origin, and flanked by 98 or 102 bp homology arms to 98 or 102 bps either side of an integrated ampicillin resistance gene in the yeast strain, MGD 353-13D, is illustrated. The PCR product (0.5mg) was mixed with total yeast genomic DNA (4.0mg) and coelectroporated into JC5519 *E. coli* containing Red $\alpha$ / $\beta$  expressed from pBAD $\alpha$  $\beta$  $\gamma$ . Clones were identified by selection for ampicillin resistance.

20

B. An ethidium bromide stained gel to confirm the correct products from 10 chosen colonies.

25

Figure 8A-C. Effect of repeats or 5' phosphates present on the ends of the linear vector on ET subcloning.

A. The sequences of the oligonucleotides used for PCR amplification of the linear vector are shown. Italicized sequence indicates the part of the oligonucleotide which is required for PCR amplification of the linear vector; the other nucleotides constitute the

30

homology arm to the *E. coli lacZ* gene. Sequences in bold were present on both extremes of the linear vector, and thus make up the terminal repeats. The linear vector constructs *a-x* have sequence repeats of various lengths. The linear vector contains the *p15A* replication origin plus the chloramphenicol resistance gene *Cm<sup>r</sup>*, flanked by homology arms and the indicated terminal repeats.

B. A schematic diagram of the strategy used to test the vector constructs containing the various sequence repeats of panel A for efficiency in ET subcloning the *E. coli lacZ* gene.

C. Table showing the effect of repeat length and phosphorylation on efficiency of ET subcloning. The results of tests using the vectors containing the repeated sequences shown in panel A are shown.

**Figure 9A-B. ET recombination subcloning using pBAD- $\alpha\beta\gamma$ (tet)**

A. Diagram of the pR6K/BAD/ $\alpha\beta\gamma$  plasmid, which contains the *R6K* origin, the *pir-116* replicon, the tetracycline resistance gene from pBR322 (*ter<sup>r</sup>*), and the arabinose repressor (*araC*).

B. Comparison of ET recombination subcloning using pBAD- $\alpha\beta\gamma$ (tet) (*ColE1* ori) versus pR6K/BAD/ $\alpha\beta\gamma$ .

**Figure 10A-B. Subcloning of a 19kb fragment of the AF-4 gene present on a BAC.**

A. Plasmid constructs and subcloning strategy. *ter<sup>r</sup>*, the tetracycline resistance gene. *araC*, arabinose repressor.

B. Analysis of 5 independent colonies. An ethidium bromide stained gel of *HindIII* digested DNA prepared from 5 independent, correct colonies and the linear vector alone. Correct subclones were confirmed by DNA sequencing. M, 1 kb DNA ladder.

**Figure 11A-B. ET subcloning using genomic DNA as a source for the target DNA.**

A. Genomic DNA isolated from *E. coli* was prelinearized by *XhoI* digestion. The linear vector consisted of the *ColE1* origin and the kanamycin resistance gene *kan*, flanked by homology arms which direct recombination to the *lacI/lacZ* locus present on the *E. coli* chromosome.

B. Restriction analysis of 16 independent colonies. Lane 17 shows the linear vector. M, 1 kb DNA ladder.

**Figure 12A-B.** Subcloning of the neomycin gene from mouse ES cell genomic DNA.

A. Diagram of subcloning strategy

B. Restriction analysis of kanamycin resistant colonies.

**Figure 13.** Combination of ET cloning and subcloning.

## 5. DETAILED DESCRIPTION OF THE INVENTION

The present invention is directed to methods and compositions for DNA cloning and subcloning using bacterial recombinase-mediated homologous recombination. The inventor has discovered that bacterial recombinases may be utilized in a particular manner to achieve high-efficiency targeted cloning and subcloning.

Preferably, the bacterial recombinase used is RecE/T and/or Red $\alpha/\beta$ . The RecE/T pathway in *E. coli* has been described previously and its components have been partially characterized (Hall and Kolodner, 1994, Proc. Natl. Acad. Sci. U.S.A. 91: 3205-3209; Gillen *et al.*, 1981, J. Bacteriol. 145:521-532). Recombination via the RecE/T pathway requires the expression of two genes, *recE* and *recT*, the DNA sequences of which have been published (Hall *et al.*, 1993, J. Bacteriol. 175:277-278). The RecE protein is functionally similar to  $\lambda$  exo, which is also called Red $\alpha$ , and the RecT protein is functionally similar to Red $\beta$  and erf of phage P22 (Gillen *et al.*, 1977, J. Mol. Biol. 113:27-41; Little, 1967, J. Biol. Chem. 242:679-686; Radding and Carter, 1971, J. Biol. Chem. 246:2513-2518; Joseph and Kolodner, 1983, Biol. Chem. 258:10411-17; Joseph and Kolodner, 1983, Biol. Chem. 258:10418-24; Muniyappa and Radding, 1986, J. Biol. Chem. 261:7472-7478; Kmiec and Hollomon, 1981, J. Biol. Chem. 256:12636-12639; Poteete and Fenton, 1983, J. Mol. Biol. 163: 257-275; Passy *et al.*, 1999, Proc. Natl. Acad. Sci. USA 96:4279-4284, and references cited therein).

Described herein are methods and compositions relating to the use of bacterial recombinases for directed DNA cloning and subcloning. As used herein, the term "DNA cloning" refers to the process of inserting DNA from any source into an

autonomously replicating vector so that it can be propagated in the host cell. The term "DNA subcloning" refers to the process of shuttling of DNA fragments already present in an autonomously replicating vector into another autonomously replicating vector, or shuttling DNA fragments from a highly enriched DNA molecule, such as a purified viral genome or a DNA fragment previously amplified by PCR, into an autonomously replicating vector. The term "directed" or "targeted" cloning and subcloning refers to the use of homology arms and, in various embodiments, adaptor oligonucleotides, to select a target DNA, and to direct the orientation of the insertion of the target DNA by the choice and the orientation of the homology arms. It should be noted that all applications of the methods of present invention apply to methods for both cloning and subcloning DNA.

The construction of the compositions and methods of the invention are described in detail herein. In particular, Section 5.1 describes mediated recombination cloning methods of the invention for targeted cloning of DNA fragments by homologous recombination. Section 5.2, below, describes compositions of the invention, including DNA constructs designed to target, capture and clone target DNA fragments of interest. Also described are nucleic acid molecules encoding bacterial recombinases such as RecE/T and/or Red $\alpha$ / $\beta$  proteins, cells comprising such compositions, and the methods for constructing such nucleic acids and cells. Section 5.3, below, describes the use of bacterial recombinase-targeted cloning methods and kits for detection of gene expression and diagnosis of disease conditions.

### 5.1 METHODS FOR CLONING AND SUBCLONING BY HOMOLOGOUS RECOMBINATION

The various methods described herein can be used for efficient and targeted cloning of any DNA of interest by bacterial recombinase-mediated homologous recombination. The three approaches described herein have as common components a cell expressing bacterial recombinase recombination proteins, and a vector. An example of the vector is shown in Figure 1A. The vector comprises three essential elements: an origin of replication and two short regions of double-stranded DNA, herein called 'homology arms'.



The homology arms are specifically designed to allow the vector to 'capture' a target DNA of interest between the homology arms by homologous recombination. The sequence, position, and orientation of the homology arms are important for correct insertion of the target DNA between the arms. In one embodiment, where the homology arms have sequence homology to the termini of target DNA, the two homology arms correspond in sequence to DNA flanking the target DNA of interest, one arm (indicated as A in Figure 1) corresponding to a DNA sequence upstream from the target DNA (indicated as C in Figure 1) and the second arm (indicated as B in Figure 1) corresponding to a sequence located downstream from the target DNA (indicated as D in Figure 1). The orientation of the two arms relative to the desired insert must be the same as is the orientation of the homologous sequence relative to the target DNA (see Figure 1), such that recombination between the homology arms and the target DNA results in the target DNA being inserted between, or 'inside' (see Figure 1), the two homology arms. As used herein, a position is defined as being 'inside' the homology arms if it is positioned between the two homology arms, such that a first homology arm is between the origin of replication and itself in one direction, and a second homology arm is positioned between the origin of replication and itself in the other direction. On the other hand, a position is defined as being "outside" the homology arms if, in one direction, neither homology arm separates itself from the origin of replication. Thus, by definition, the replication origin and the selectable marker are located on the vector 'outside' the homology arms (see Figure 1), so that insertion of the target sequence preserves the origin of replication and the selectable marker on the plasmid. On the other hand, the target DNA is, by definition, inserted 'inside' the homology arms. Figure 1A depicts pictorially the meaning of 'inside' and 'outside' of the homology arms.

In an alternative embodiment, the homology arms have sequence homology to a set of double-stranded adaptor oligonucleotides. Such adapter oligonucleotides are illustrated in Figure 1B. The sequence of each adaptor oligonucleotide comprises the sequence of one of the homology arms of the vector, and additionally, a sequence homologous to a sequence that flanks the target gene of interest (see Figure 1C). Thus, one adaptor oligonucleotide contains homology to DNA sequence of one homology arm (indicated as A' in Figure 1), and a nucleotide sequence upstream from the target DNA

(indicated as C' in Figure 1). The second adaptor oligonucleotide contains homology to a DNA sequence of one homology arm (indicated as B' in Figure 1), as well as a nucleotide sequence located downstream from the target DNA (indicated as D' in Figure 1). In this way, adaptor oligonucleotides may be used to adapt a generic homology cloning vector to  
5 target a specific gene sequence of interest by varying the sequence of the adaptor oligonucleotide (see Figure 5). The methods and compositions that can be used to carry out the various embodiments of the invention are described in detail herein.

The methods described below include three alternative approaches to directed cloning by homologous recombination. As described in detail below, each of the  
10 three approaches has its own advantages that make it preferred for a particular cloning application. These methods and applications are described in detail below. In one approach, depicted in Figure 2, the cloning vehicle is introduced into a cell that contains the target DNA of interest. This first approach may be used to conveniently shuttle an insert from one replicon to another, without the need for cumbersome restriction analysis and *in*  
15 *vitro* manipulations. This approach is useful for applications in which the target DNA already exists in an *E. coli* replicon and its further use requires the subcloning of a chosen part. For example, the use of a DNA clone isolated from a cosmid, phage or BAC library is facilitated by subcloning chosen portions into a new vector in order to sequence the insert or to express the protein encoded by the gene. In a second approach, depicted in Figure 3, the  
20 cloning vector and the target DNA of interest are prepared and then added together into a cell. Alternatively, as shown in Figure 4, the DNA of interest can be added to a cell that already contains the cloning vector. The latter two approaches are useful for applications in which the target DNA is derived from any external source, such as, for example, DNA derived from a cancer cell.

25

#### **5.1.1 APPROACH 1: INTRODUCTION OF VECTOR INTO HOST CELL CONTAINING TARGET DNA**

In one embodiment, as depicted in Figure 2, the target DNA sequence is already present within a host cell that expresses a bacterial recombinase. For example, the  
30 target DNA may reside on an independently replicating DNA molecule, such as, but not

limited to, a plasmid, a phage, bacterial artificial chromosome (BAC) or the *E. coli* chromosome in an *E. coli* host cell. Methods for constructing host cells that express a bacterial recombinase such as RecE/T or Red $\alpha$ / $\beta$  recombinase are described in detail in Section 5.2.2.

5           The vector DNA, comprising an origin of replication and two homology arms located on either side of the origin and the marker, is introduced into the host cell. Preferably, the vector is a linear molecule and the homology arms are located at the respective ends of the linear molecule, although they may be internal. After entry into the cell, homologous recombination between the homology arms of the vector DNA and the  
10 target sequences results in insertion of target DNA between the homology arms, and the resultant formation of a circular episome. Cells are then plated on selective media to select for the selective marker present on the vector. Since only circularized molecules are capable of replicating and being selected for in the host cell, many of the cells that grow on selective media will contain recombined molecules including the target DNA.

15           In one embodiment, the ends of the linear vector DNA fragment may be blocked with modified nucleotides, to reduce the number of events produced by joining of the ends of the linear fragments by any means other than homologous recombination, i.e., illegitimate recombination. Such modified nucleotides, e.g., phosphothionate nucleotides, may be incorporated into the 5'-end nucleotide of the homology arm. Modified nucleotides  
20 may be incorporated during oligonucleotide synthesis of a primer used to construct the vector (see Section 5.2.1, below), or, alternatively, may be added by enzymatic or chemical modification of the oligonucleotide or linear vector DNA after synthesis. Methods for such modification of oligonucleotides and linear DNA fragments are well known in the art, and are described in detail in Section 5.2.2, below.

25

### 5.1.2 APPROACH 2: CO-INTRODUCTION OF VECTOR AND TARGET DNA INTO THE HOST CELL

In another embodiment, as depicted in Figure 3, the vector DNA and the target DNA are mixed *in vitro* and co-introduced into a cell containing the RecE/T or  
30 Red $\alpha$ / $\beta$  recombinases. The target DNA may be derived from any source. For example, the

target DNA can be obtained from a biological sample, such as, but not limited to, whole blood, plasma, serum, skin, saliva, urine, lymph fluid, cells obtained from biopsy aspirate, tissue culture cells, media, or non-biological samples such as food, water, or other material. Methods for preparation of DNA from such sources are well known to those of skill in the art (see, e.g., Current Protocols in Molecular Biology series of laboratory technique  
5 manuals, 1987-1994 Current Protocols, 1994-1997 John Wiley and Sons, Inc.).

The vector and the target DNA are prepared, mixed *in vitro*, and then co-introduced into cells expressing bacterial recombinase proteins, preferably by transformation in *E. coli* by co-electroporation. The vector DNA may be in the form of  
10 linear DNA or a circular plasmid DNA. In a preferred embodiment, the vector is a linear DNA molecule. The source of target DNA is mixed in weight excess to, or excess, relative to the vector DNA, in order to introduce as many copies of the target DNA region of interest into the cell as possible, thereby maximizing the yield of recombinant products. Cells are grown in selective media to select for circularized products. In a preferred embodiment the  
15 vector contains an antibiotic resistance marker, and cells are grown in the presence of antibiotic. Colonies that are capable of growth under such selection will contain circularized, recombined forms of the linear fragment.

In one embodiment, the ends of the linear vector DNA fragment may be blocked with modified nucleotides, as described below in Section 5.2.1. Methods for such  
20 modification of oligonucleotides are well known in the art, as described below in Section 5.2.2.

This approach is particularly useful where the target DNA is obtained from a source external to *E. coli*, such as yeast or eukaryotic cells. In one embodiment, this method may be used for diagnostic purposes to detect the presence of a particular DNA in  
25 any biological specimen. For example, the method may be used to detect the presence of a specific estrogen receptor or BRCA 1 allele in a biopsy sample extracted from a breast cancer patient.

In another embodiment, the method may be used to amplify regions of DNA as an alternative to amplification by polymerase chain reaction (PCR) techniques.  
30 Amplification by homologous recombination, cloning and propagation in *E. coli* offers

several advantages over PCR-based techniques. First, PCR error can be a substantial drawback for many purposes. Combinations of pairs of PCR primers tend to generate spurious reaction products. Moreover, the number of errors in the final reaction product increases exponentially with the each round of PCR amplification after an error is introduced into a DNA sample. On the other hand, amplification by homologous recombination cloning has the advantage of the cellular proofreading machinery in *E. coli* and is thus at least 1000 times more faithful. Second, there are fewer restrictions on the size of the DNA region that may be amplified using the present method. Amplification of DNA regions longer than a few kilobases (greater than 5-10 kb) is difficult using PCR techniques.

10 The present method is suitable for cloning much larger regions, at least to approximately one hundred kilobases. At present, cloning a genome involves the tedious processes of creating a large, random library followed by sorting through and ordering individual clones. Using this method, homology arms can be designed and vectors constructed to direct the cloning of a genome into large, non-redundant, contiguous clones, called 'contigs'. Third,

15 even after DNA is produced by a PCR technique, the PCR products need to be cloned in an extra processing step. Homologous recombination cloning techniques obviates the need for the extra subcloning step. The region of DNA that is to be amplified is simply inserted between homology arms and transformed with the vector DNA into a *E. coli* host.

The homologous recombination in this embodiment may be carried out *in vitro*, before addition of the DNA to the cells. For example, isolated RecE and RecT, or cell extracts containing RecE/T may be added to the mixture of DNAs. When the recombination occurs *in vitro* the selection of DNA molecules may be accomplished by transforming the recombination mixture in a suitable host cell and selecting for positive clones as described above.

25

### 5.1.3 APPROACH 3: INTRODUCTION OF TARGET DNA INTO HOST CELLS CONTAINING VECTOR DNA

In another embodiment, target DNA is introduced into a cell which already contains vector DNA. Target DNA may be from any source, as described in 5.1.2 above,

30 and may be either linear or circular in form. As described above, once the target DNA is

inside the cell, homologous recombination between the homology arms and the target DNA results in the insertion of the target DNA between the homology arms. However, in this case, counter-selection is needed to select against unrecombined vector since both the desired product and the unrecombined vector expresses the selectable marker gene. Various  
5 embodiments are described in detail herein to accomplish this counter-selection. In one embodiment, for example, a method that utilizes a site-specific recombination and excision reaction can be used. This approach is depicted in Figure 5. In another embodiment, an inducible nuclease is induced that cleaves the unrecombined vector. In both embodiments, the vectors that do not contain recombination products are eliminated.

10 The vector is first constructed as a plasmid, then introduced into the host cell, where it can be propagated. As shown in Figure 5, the vector contains (i) an origin of replication (any origin); (ii) a selectable marker (Sm); (iii) the two homology arms; and (iv) a counter-selectable marker, such as, but not limited to, a pair of recognition for a site-specific recombinase, a first recognition site located outside the homology arms and a  
15 second recognition site located inside the homology arms, or a recognition site for an endonuclease, which can be used to select against the starting plasmid vector. As used herein, a site is located 'inside' the homology arms if it is positioned between the two homology arms, such that a first homology arm is between the origin of replication and itself in one direction, and a second homology arm is positioned between the origin of  
20 replication and itself in the other direction. On the other hand, a position is defined as being 'outside' the homology arms if, in one direction, neither homology arm separates itself from the origin of replication. (See Figure 1 for a pictorial representation of the meaning of 'inside' versus 'outside' the homology arms.) The origin of replication and the selectable marker must be located outside the homology arms, as described in Section 5.1  
25 above, such that insertion of the target sequence preserves the origin of replication and the selectable marker on the plasmid. The counter-selectable marker, endonuclease site or one of two site-specific recombinase target sites is preferably located 'inside' the homology arms (see Figure 5), on the other side of the origin of replication and the selectable marker.

Any method known in the art that allows for counter-selection against the  
30 non-recombined vector can be used. For example, in one embodiment, counter-selection

can be accomplished by an inducible site-specific recombinase (SSR). Site-specific recombinases are enzymes that recognize two target sites, called site-specific recombinase target sites (SSRTs), and act at these sites to mediate a DNA strand exchange and excision reaction (Hallet *et al.*, FEMS Microbiol. Rev., 1997, 21:157-78; Sauer, 1994, Curr. Opin. Biotechnol. 5:521-7; Stark *et al.*, 1992, Trends Genet., 8:432-9). Examples of site-specific recombinases are known in the art, including, but not limited to Cre, Flp, Kw, or R recombinases (Nunes-Duby *et al.*, 1998, Nucleic Acids Res. 26:391-406; Ringrose *et al.*, 1997, Eur. J. Biochem. 248: 903-912; Utatsu *et al.*, 1987, J. Bacteriol. 169: 5537-5545). When two directly repeated SSRTs reside on a circular plasmid, site-specific recombination between the two SSRTs results in the formation of two circular plasmids. Only the product containing the origin of replication is maintained in the cell. Thus, site-specific recombination between two directly repeated SSRTs in a circular plasmid results in deletion of the DNA sequence located between the two SSRTs on the side that does not include the origin of replication.

15 A DNA vector is constructed containing two SSRTs, oriented as direct repeats, one positioned inside the homology arms, and a second positioned outside the arms and between the selectable marker (SM) and the origin of replication. Recombination between SSRTs positioned in this way results in separation of the origin of replication from the selectable marker (see Figure 5). Thus, the SSR will act on non-recombined DNA vectors, which contain two SSRTs, resulting in the loss of such plasmids from the host cell.

20 Host cells are then transformed with vector DNA by standard methods. In this embodiment the host cell must contain: 1) RecE/T and/or Red $\alpha$ / $\beta$  genes and 2) a gene encoding an SSR. Preferably, the expression of RecE/T and/or Red $\alpha$ / $\beta$  genes is inducible, but constitutive expression is also possible. The gene encoding a site-specific recombinase (SSR) that recognizes the SSRTs must be inducible. Inducible and constitutive promoters are well known in the art; methods for their use in construction and expression of recombinant genes are described in Section 5.2.3, below. If the RecE/T and/or Red $\alpha$ / $\beta$  genes require induction for expression, the vector containing cells are grown under conditions to induce expression immediately before competent cells are prepared. Host cells

containing vector DNA are selected for and maintained by plating and growing in selective media.

Competent cells are then prepared from the host cells containing the vector.

Cells are transformed with the target DNA, which can be prepared from any source, *e.g.*

5 total genomic DNA prepared from any cell. The cells are cultured briefly, to allow homologous recombination to occur. Homologous recombination results in deletion of the sequence between the homology arms containing one SSRT, and the insertion of the target gene sequence. The expression of the SSR is then induced. The SSR will act on the directly repeated SSRTs in the un-recombined vector, separating the selectable marker from  
10 the plasmid origin of replication. Plasmids containing insert targets have only one SSRT and remain intact. Selection may or may not be maintained throughout this step, but does need to be imposed soon after induction of the SSR, *i.e.*, soon after the site-specific recombination takes place. In this way, induction of the SSR results in selecting for plasmids containing insert target genes.

15 In an alternative embodiment, an endonuclease can be used to linearize the vector between the homology arms *in vivo*, either just before, during, or after homologous recombination. Linearization of the vector before recombination will select for correct recombination products, since a linear plasmid will not survive in the cell unless it becomes circularized. After the recombination, the continued activity of the endonuclease will help  
20 select for plasmids containing inserts because during homologous recombination the SSR deletes the endonuclease recognition site and inserts the target DNA in its place. Since the endonuclease will cleave only non-recombined vectors, leaving plasmids with inserted target sequences intact, the continued activity of the endonuclease after recombination, selects against non-recombined products. For this embodiment, an endonuclease with a  
25 very rare recognition site must be used, so that no other sites will be present in the host cell DNA. Examples of such 'rare-cutters' are known in the art, including, but not limited to, the lambda cos, yeast HO or an intron-encoded endonuclease such as PI-SceI. The recognition site for the endonuclease should be cloned between the two homology arms, so that enzymatic digestion by the endonuclease results in linearization of the vector between  
30 the homology arms. The expression of the endonuclease gene must be inducible.



Constructs and methods for inducible protein expression are discussed below, in Section 5.2.3.

In another embodiment, an SSR, for example, the Cre recombinase can be used, instead of an endonuclease, to linearize the unrecombined vector in vivo (see Mullins *et al.*, 1997, Nucleic Acids Res.25:2539-40). In this case, the vector is constructed with only one SSRT site located inside the homology arms. An excess of oligonucleotide containing a copy of the same SSRT is oligonucleotide is mixed with the target DNA and co-transformed into the host with the target DNA. Preferably, the oligonucleotide is a short double-stranded DNA molecule. Where one of the recombining molecules has an SSRT  
10 residing on a short oligonucleotide, the site-specific recombinase will linearize the vector at its SSRT (Mullins *et al.*, 1997, *supra*).

In another embodiment, the site-specific recombination and endonuclease approaches described above can be combined. In this case, the unrecombined vector is made to contain both an SSRT and an endonuclease site inside the homology arms. In one  
15 embodiment of this approach, the SSR and the endonuclease could be co-regulated under the control of a single inducible promoter. Constructs and methods for such co-regulated, inducible expression of proteins in discussed in Section 5.2.3, below.

In another embodiment, a combination of these uses of site-specific recombination for counter-selection can be employed. In this embodiment, two pairs of  
20 SSR/SSRTs are employed, for example Cre/lox and Flp/FRT. The vector contains two sites for the first SSR, SSR1, one located inside the homology arms, and the second located outside the homology arms, between the origin of replication and the selectable marker. In addition, the vector contains a site for the second SSR, SSR2, located inside the homology arms. Another site for SSR2 is located on short double-stranded oligonucleotides and are  
25 added along with target DNA during cell transformation, at an amount in excess to the target DNA. In a specific embodiment, for example, one SSR/SSRT pair for the linearization step is Cre/loxP and the second one for the deletion step is Flp/FRT.

In another embodiment, a direct counter-selection against the cell may be used. In this case the plasmid origin of replication directs single-copy (or very low copy)  
30 maintenance in *E. coli*. Origins of replication of this class include the iteron-class of origins

such as the phage P1 origin, and plasmids based on the *E. coli* chromosomal origin, oriC. For suitable origins of replication, see Helinski, D.R, Toukdarian, A.E., Novick, R.P. Chapter 122, pp 2295-2324 in "Escherichia coli and Salmonella, Cellular and Molecular Biology" 2<sup>nd</sup> edition Frederick C. Niedhardt, Ed. ASM Press, Washington, 1996, ISBN 1-55581-084-5. In this case, the vector can be constructed without any SSRTs, rather a counter-selectable gene is included between the homology arms. Such counter-selectable marker genes are known in the art, for example, the *sacB*, *ccdB* or tetracycline resistant genes may be used (see also, Reyrat *et al.*, 1998, Infect. Immun. 66:4011-7 for a listing of suitable counter-selectable genes and methods). The intended homologous recombination reaction will delete the counter-selectable gene so that cells carrying the intended recombination product will survive under counter-selection pressure, whereas cells carrying the unrecombined vector will be killed.

## 5.2 COMPOSITIONS FOR CLONING AND SUBCLONING BY HOMOLOGOUS RECOMBINATION

Compositions for cloning by homologous recombination in the various embodiments are described herein. For each of the cloning methods described in Section 5.2 below, three components are required to coexist in a single cell: first, a vector carrying two short regions of DNA (herein called 'homology arms'), having sequence homology to a target sequence; second, RecE/T and/or Red $\alpha/\beta$  protein pairs or other bacterial recombinase; and third, the target DNA sequence. Recombination between these homologous sequences present on the homology arms and the flanking regions of the target gene, mediated by a bacterial recombinase, results in the target DNA being inserted or 'captured' between the two homology arms. The compositions and the methods for their construction are described in detail herein.

### 5.2.1 THE HOMOLOGY CLONING VECTOR

The homology cloning vector may be a linear or circular DNA vector comprising an origin of replication, a selectable marker, and two short regions of DNA designed to capture a target DNA of interest. Several forms of cloning vehicles are

possible, depending on the approach or method to be used. The preferred forms and methods for their construction are depicted in Figures 1-5, and described in detail herein.

#### 5.2.1.1 THE ORIGIN OF REPLICATION

5           The vector requires an origin of replication, which is needed for replication and propagation of the plasmid. For cloning and propagation in *E. coli*, any *E. coli* origin of replication may be used, examples of which are well-known in the art (see, Miller, 1992, A Short Course in Bacterial Genetics, Cold Spring Harbor Laboratory Press, NY, and references therein). Non-limiting examples of readily available plasmid origins of  
10 replication are ColE1-derived origins of replication (Bolivar *et al.*, 1977, Gene 2:95-113; see Sambrook *et al.*, 1989, *supra*), p15A origins present on plasmids such as pACYC184 (Chang and Cohen, 1978, J. Bacteriol. 134:1141-56; see also Miller, 1992, p. 10.4-10.11), and pSC101 origin available for low-copy plasmids expression are all well known in the art.

          For example, in one embodiment, the origin of replication from a high-copy  
15 plasmid is used, such as a plasmid containing a ColE1-derived origin of replication, examples of which are well known in the art (see Sambrook *et al.*, 1989, *supra*; see also Miller, 1992, A Short Course in Bacterial Genetics, Cold Spring Harbor Laboratory Press, NY, and references therein). One example is an origin from pUC19 and its derivatives (Yanisch-Perron *et al.*, 1985, Gene 33:103-119). pUC vectors exist at levels of 300-500  
20 copies per cell and have convenient cloning sites for insertion of foreign genes. For very high expression,  $\lambda$  vectors, such as  $\lambda$ gt11 (Huynh *et al.*, 1984, in "DNA Cloning Techniques: Vol I: A Practical Approach", D. Glover, ed., pp 49-78, IRL Press, Oxford), or the T7 or SP6 phage promoters in cells containing T7 and Sp6 polymerase expression systems (Studier *et al.*, 1990, Methods Enzymol. 185:60-89) can be used.

25           When a lower level of expression is desired, an origin of replication from a medium or a low-copy may be used. Medium-copy plasmids are well known in the art, such as pBR322, which has a ColE1 derived origin of replication and 20-100 copies per cell (Bolivar *et al.*, 1977, Gene 2:95-113; see Sambrook *et al.*, 1989, *supra*), or pACYC184, one of the pACYC100 series of plasmids, which have a p15A origin of replication and exist at  
30 10-12 copies per cell (Chang and Cohen, 1978, J. Bacteriol. 134:1141-56; see also Miller,

1992, p. 10.4-10.11). Low-copy plasmids are also well known in the art, for example, pSC101, which has a pSC101 origin, and approximately 5 copies per cell. Both pACYC and pSC101 plasmid vectors have convenient cloning sites and can co-exist in the same cell as pBR and pUC plasmids, since they have compatible origins of replication and unique  
5 selective antibiotic markers. Other suitable plasmid origins of replication include lambda or phage P1 replicon based plasmids, for example the Lorist series (Gibson *et al.*, 1987, Gene 53: 283-286).

When even less expression is desired, the origin of replication may be obtained from the bacterial chromosome (see Miller, 1992, *supra*; Niedhardt, F.C., ed.,  
10 1987, *Escherichia coli and Salmonella typhimurium*, American Society for Microbiology, Washington, D.C.; Yarmolinsky, M.B. and Sternberg, N., 1988, pp. 291-438, in Vol. 1 of *The Bacteriophages*, R. Calendar, ed., Plenum Press, New York). In addition, synthetic origins of replication may be used.

#### 15 5.2.1.2 THE SELECTABLE MARKER

To maintain the plasmid vector in the cell, the vector typically contains a selectable marker. Any selectable marker known in the art can be used. For construction of an *E. coli* vector, any gene that conveys resistance to any antibiotic effective in *E. coli*, or any gene that conveys a readily identifiable or selectable phenotypic change can be used.  
20 Preferably, antibiotic resistance markers are used, such as the kanamycin resistance gene from TN903 (Friedrich and Soriano, 1991, Genes. Dev. 5:1513-1523), or genes that confer resistance to other aminoglycosides (including but not limited to dihydrostreptomycin, gentamycin, neomycin, paromycin and streptomycin), the  $\beta$ -lactamase gene from IS1, that confers resistance to penicillins (including but not limited to ampicillin, carbenicillin,  
25 methicillin, penicillin N, penicillin O and penicillin V). Other selectable genes sequences including, but not limited to gene sequences encoding polypeptides which confer zeocin resistance (Hegedus *et al.* 1998, Gene 207:241-249). Other antibiotics that can be utilized are genes that confer resistance to amphenicols, such as chloramphenicol, for example, the coding sequence for chloramphenicol transacetylase (CAT) can be utilized (Eikmanns *et al.*  
30 1991, Gene 102:93-98). As will be appreciated by one skilled in the art, other non-

antibiotic methods to select for maintenance of the plasmid may also be used, such as, for example a variety of auxotrophic markers (see Sambrook *et al.*, 1989, *supra*; Ausubel *et al.*, *supra*).

### 5 5.2.1.3 THE HOMOLOGY ARMS

A required component of the vector is two short regions of double-stranded DNA, referred to herein as 'homology arms'. In one embodiment, as shown in Figure 1, the two homology arms (labeled "A" and "B") are homologous to the sequence of the DNA flanking the target DNA of interest (labeled A' and B'), one arm being homologous to a  
10 DNA sequence upstream from the target DNA and the second arm being homologous to a sequence located downstream from the target DNA. As used herein, two double-stranded DNA molecules are "homologous" if they share a common region of identity, optionally interrupted by one or more base-pair differences, and are capable of functioning as substrates for homologous recombination. In a preferred embodiment, the homology arms  
15 contain approximately 22 to 100 base pairs or more of continuous identity to a double-stranded region flanking target DNA of interest. Regions of homology can be interrupted by one or more non-identical residues, provided that the homology arms are still efficient substrates for homologous recombination. In a preferred embodiment, for optimum recombination efficiency, homology arms are approximately 50 nucleotides in length, with  
20 in the range of 20-30 (e.g., 25) base pairs of continuous, uninterrupted, sequence identity. Although shorter regions of continuous identity are also possible (e.g., at least 6, 8, or 10 base pairs), lower efficiencies of recombination can be expected using such shorter regions of continuous identity. For example, in one embodiment, the length of continuous identity may be as short as 6 bp (Keim and Lark, 1990, J. Structural Biology 104: 97-106). There is  
25 no upper limit to length of homology arms or the length of their continuous identity to the flanking target DNA sequence.

Nucleotide sequences flanking a target DNA also are referred to herein as the "termini" of the target DNA. Thus, a target DNA will have two-termini, a first terminus and second terminus. The orientation of the two arms relative to the desired insert must be the  
30 same as is the orientation of the homologous sequence relative to the target DNA (see

Figure 1), so that recombination between the homology arms and the first and second termini of the target DNA results in the target DNA being inserted between the two homology arms.

The sequences of the two homology arms are chosen according to the experimental design. The only limitations on the choice of an homology arm is that it should not be a sequence found more than once within the target DNA and should not be present elsewhere in the host cell during the homologous recombination reaction. In this case, the intended homologous recombination product can still be obtained, however amongst a background of alternative homologous recombinations events. In one embodiment, the sequence of the homology arms are two sequences flanking the polylinker of a commonly used cloning vehicle such as a BAC, PAC, YAC (yeast artificial chromosome), phage cloning vectors such as the  $\lambda$ EMBL or  $\lambda$ GT series, phagemid, cosmid, pBR322, pGEM, pGEX, pET, baculovirus vectors, viral vectors such as adenoviral vectors and adeno-associated viral vectors. Thus, a single vector can be used to subclone any insert that has been cloned in these vectors. Vectors containing such homology arms are particularly useful for subcloning inserts derived from positive clones from a DNA library, such as a BAC, PAC, YAC, cosmid or lambda library.

In various embodiments, as described hereinbelow, the homology arms are positioned at the ends of a linear DNA molecule, or within a linear DNA molecule or circular DNA plasmid vector.

Homology arms are oriented in the same orientation relative to their orientation in the target nucleotide sequence. In other words, they are oriented so the desired DNA sequence is inserted between the arms after the recombination takes place. Where the homology arms are positioned at the ends of the linear DNA the inserted DNA sequence is captured and inserted between the two arms, thereby creating a circular and replicable plasmid.

#### **5.2.1.4 ADAPTER OLIGONUCLEOTIDE HOMLOGY ARMS**

In an alternative embodiment, the nucleotide sequence of the homology arms is homologous to nucleotide sequences present on adaptor oligonucleotides. Each of two

adaptor oligonucleotides comprise a nucleotide sequence homologous to nucleotide sequences present on one of the homology arms, and a second region of homology that is homologous to one of the two termini of the target DNA. Adaptor oligonucleotides are depicted in Figure 1. The homology arms of the vector are labeled "A" and "B", and regions of the adaptor oligonucleotide homologous to these sequences are labeled A' and B'. The two termini of target DNA are labeled "C" and "D", and the corresponding homologous sequences present on the adaptor oligonucleotides are labeled C' and D'. In this embodiment, recombination mediated by RecE/T or Red $\alpha/\beta$  between the vector homology arms, the region of homology on the adaptor oligonucleotides, and the flanking termini of the target gene results in the target DNA being inserted or 'captured' between the homology arms of the vector.

#### 5.2.1.5 CONSTRUCTION OF THE VECTOR

The linear fragment or circular vector may be constructed using standard methods known in the art (see Sambrook *et al.*, 1989, *supra*; Ausubel *et al.*, *supra*). For example, synthetic or recombinant DNA technology may be used. In one embodiment, the linear fragment is made by PCR amplification. In this method, oligonucleotides are synthesized to include the homology arm sequences at their 5' ends, and PCR primer sequences at their 3' ends. These oligonucleotides are then used as primers in a PCR amplification reaction to amplify a DNA region including an origin of replication and a selectable genetic marker. In another embodiment, a plasmid may be constructed to comprise two appropriately oriented homology arms flanking an origin of replication and a selectable genetic marker by standard recombinant DNA techniques (see *e.g.*, Methods in Enzymology, 1987, Volume 154, Academic Press; Sambrook *et al.*, 1989, Molecular Cloning - A Laboratory Manual, 2nd Edition, Cold Spring Harbor Press, New York; and Ausubel *et al.*, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, New York). The plasmid is then linearized, for example, by restriction endonuclease digestion.

In another embodiment, for example, the following method may be used to construct the vector DNA used in Section 5.1.3, above. Two oligonucleotides are

synthesized, one of which includes, from 5' to 3' end, a restriction site unique to the vector, a left homology arm and a PCR primer. The other oligonucleotide includes, from 5' to 3' end, the same restriction site unique to the vector, an SSRT, a right homology arm and a PCR primer. The two homology arms are chosen to flank the target DNA. The SSRT is a site recognized by any site specific recombinase (SSR) such as Cre, Flp, Kw, or R recombinases. The synthesis of the oligonucleotide must be designed so that the two SSRTs are orientated as directed repeats in the vector. Two PCR primers are used amplify a DNA template that includes a plasmid origin, a selectable gene and an identical SSRT between the origin and the selectable gene. The product of the PCR reaction is then cut with the restriction enzyme that recognizes the sites included at the 5' ends of the oligonucleotides to permit efficient circularization by ligation. The circular product is then transformed into *E. coli* for amplification to yield large amounts of the vector.

In another embodiment, a linear fragment is constructed by taking plasmid with selectable marker, an origin and two cloning sites, and cloning in an oligonucleotide homology arm into each cloning site. Restriction enzymes are then used to cut the plasmid DNA to produce linear fragment bounded by the homology arms. This method is preferred for construction of more complex plasmids - e.g. plasmids containing eukaryotic enhancer and promoter elements in order to include eukaryotic expression elements. Additionally, other sequence elements may be subcloned into the vector.

The vector may also contain additional nucleotide sequences of interest for protein expression, manipulation or maintenance of the inserted target DNA. For example, promoter sequences, enhancer sequences, translation sequences such as Shine and Dalgarno sequences, transcription factor recognition sites, Kozak consensus sequences, and termination signals may be included, in the appropriate position in the vector. For recombination cloning in cells other than bacterial cells, such as plant, insect, yeast or mammalian cells, other sequence elements may be necessary, such as species-specific origins of replication, transcription, processing, and translation signals. Such elements may include, but are not limited to eukaryotic origins of replication, enhancers, transcription factor recognition sites, CAT boxes, or Pribnow boxes.



In an embodiment wherein RecE/T and/or Red $\alpha$ / $\beta$  or other bacterial recombinase is produced recombinantly from an expression plasmid in the cell, the chosen vector must be compatible with the bacterial recombinase expression plasmid described in Section 5.2.3, below. One of skill in the art would readily be aware of the compatibility requirements necessary for expressing multiple plasmids in a single cell. Methods for propagation of two or more constructs in procaryotic cells are well known to those of skill in the art. For example, cells containing multiple replicons can routinely be selected for and maintained by utilizing vectors comprising appropriately compatible origins of replication and independent selection systems (see Miller *et al.*, 1992, *supra*; Sambrook *et al.*, 1989, *supra*).

### 5.2.2 BACTERIAL RECOMBINASES

The invention described herein is described mainly with reference to the use of RecE/T and/or Red $\alpha$ / $\beta$ . However, as will be clear to the skilled artisan, the invention is equally applicable to the use of other bacterial recombinases that have the ability to mediate homologous recombination using a pair of homologous double-stranded DNA molecules as substrates. As used herein, a bacterial recombinase is a recombinase that is expressed endogenously in bacteria, whether of phage or bacterial origin, and is capable of mediating homologous recombination. In various embodiments, the bacterial recombinase is RecE/T and/or Red $\alpha$ / $\beta$  recombinase. In another specific embodiment, a functionally equivalent system for initiating homologous recombination comprises erf protein from phage P22. Further, individual protein components of bacterial recombinases can be substituted by other functional components for use in the present invention.

"RecE" and "RecT" as used herein, refers first, to *E. coli*, e.g., *E. coli* K12, RecE or RecT. The *E. coli* RecE and RecT nucleotide and amino acid sequences are well known (RecE, GenBank Accession No. M24905 and SWISS-PROT Accession No. P15033; RecT, GenBank Accession No. L23927 and SWISS-PROT Accession No. P33228). "Red $\alpha$ " and "Red $\beta$ " refer to the phage lambda encoded proteins. Red $\alpha$  has a 5' to 3' exonuclease activity similar to the 5' to 3' exonuclease of RecE, and Red $\beta$  has a DNA

annealing activity similar to that of RecT. Nucleotide and amino acid sequences are well known for both of these lambda proteins (see GenBank Accession Nos. J02459; M17233).

As will be clear to the skilled artisan, reference to RecE/T and/or Red $\alpha$ / $\beta$  herein shall also apply to a combination of RecE/T and Red $\alpha$ / $\beta$ , unless indicated otherwise  
5 explicitly or by context. In a specific embodiment, combination of the two enzyme complexes has a synergistic effect on the efficiency of recombination.

Bacterial recombinases that can be used also include allelic variants of the components of the recombinases. For example, amino acid sequences utilized in the RecE/T and Red $\alpha$ / $\beta$  recombination systems of the invention can also comprise amino acid  
10 sequences encoded by any allelic variants of RecE, RecT, Red $\alpha$ , or Red $\beta$ , as long as such allelic variants are functional variants, at least to the extent that they exhibit homologous recombination activity. Allelic variants can routinely be identified and obtained using standard recombinant DNA techniques (see e.g., Methods in Enzymology, 1987, volume 154, Academic Press; Sambrook *et al.*, 1989, Molecular Cloning - A Laboratory Manual,  
15 2nd Edition, Cold Spring Harbor Press, New York; and Ausubel *et al.*, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, New York), or protein evolution approaches (Jermutus *et al.*, 1998, Curr. Opin. Biotechnol. 9:534-548).

In general, nucleic acid encoding such allelic variants should be able to hybridize to the complement of the coding sequence of RecE, RecT, Red $\alpha$ , or Red $\beta$  under  
20 moderately stringent conditions (using, e.g., standard Southern blotting hybridization conditions, with the final wash in 0.2xSSC/0.1% SDS at 42°C; Ausubel *et al.*, eds., 1989; Current Protocols in Molecular Biology, Vol. I, Green Publishing Associates, Inc., and John Wiley & sons, Inc., New York, at p. 2.10.3), or highly stringent hybridization conditions (using, e.g., standard Southern blotting hybridization conditions with the final wash in  
25 0.1xSSC/0.1%SDS at 68°C ; Ausubel *et al.*, *supra*).

RecE, RecT, Red $\alpha$ , and Red $\beta$ , as used herein also includes RecE, RecT, Red $\alpha$ , and Red $\beta$  homologs derived from the phages hosted by, or the cells of, procaryotic cells of the family *Enterobacteriaceae*. Members of the family *Enterobacteriaceae* include, but are not limited to species of *Escherichia*, *Salmonella*, *Citrobacter*, *Klebsiellae*, and  
30 *Proteus*. Such RecE, RecT, Red $\alpha$ , or Red $\beta$  homolog is, generally, encoded by a gene

present in a phage genome whose product participates in a recombination-mediated step in the phage life cycle, such as Red $\alpha$  and Red $\beta$  in the life cycle of lambda phage.

RecE/T homologs can routinely be identified and obtained using standard procaryotic genetic and recombinant DNA techniques (see *e.g.*, Sambrook *et al.*, *supra.*,  
5 and Ausubel *et al.*, *supra.*). Recombinant DNA may be obtained from a cloned genomic or cDNA library, or by PCR amplification. For example, a genomic library may be produced by standard molecular biological techniques, or obtained from commercial or non-commercial sources. The genomic or cDNA library may then be screened by nucleic acid hybridization to a labeled *E. coli* *recE* or *recT* probe (Grunstein and Hogness, 1975, Proc.  
10 Natl. Acad. Sci. U.S.A. 72:3961) and positive clones can be isolated and sequenced.

In a specific example, a RecE or RecT homolog can routinely be identified in *Salmonella typhimurium*. The *recE* and *recT* genes are well characterized in *E. coli* *K-12*; the nucleotide and protein sequences of both RecE (GenBank Accession No. M24905 and SWISS-PROT Accession No. P15033) and RecT (GenBank Accession No. L23927 and  
15 SWISS-PROT Accession No. P33228) are known; (see also Bachmann, 1990, Microbiol. Rev. 54:130-197; Rudd, 1992, in Miller, 1992, *supra.*, pp. 2.3-2.43). A complete *S. typhimurium* genomic cosmid or  $\lambda$  library may be used. The *S. typhimurium* library may then be screened by hybridization with an *E. coli* RecE or RecT probe utilizing hybridization conditions such as those described above. For example, since the two genes  
20 are expected to be highly homologous, standard moderately stringent hybridization conditions are preferred.

In one embodiment, such conditions can include the following: Filters containing DNA can be pretreated for 6 hours at 55°C in a solution containing 6X SSC, 5X Denhart's solution, 0.5% SDS and 100  $\mu$ g/ml denatured salmon sperm DNA.  
25 Hybridizations can be carried out in the same solution and 5-20  $\times 10^6$  cpm  $^{32}$ P-labeled probe is used. Filters can be incubated in hybridization mixture for 18-20 hours at 55°C, and then washed twice for 30 minutes at 60°C in a solution containing 1X SSC and 0.1% SDS. Filters are then blotted dry and exposed to X-ray film for autoradiography. Other conditions of moderate stringency which may be used are well-known in the art. Washing of filters is  
30 done at 37°C for 1 hour in a solution containing 2X SSC, 0.1% SDS. Subsequent isolation,

purification and characterization of clones containing the *S. typhimurium* can be performed by procedures well known in the art (see Ausubel *et al.*, *supra*). Such sequences can be used to construct the *S. typhimurium* RecE/Ts of the invention.

- Alternatively, the *S. typhimurium* gene can be isolated from *S. typhimurium* mRNA. mRNA can be isolated from cells which express the RecE or RecT protein. A cDNA library may be produced by reverse transcription of mRNA, and screened by methods known in the art, such as those described above for screening a genomic library (see Ausubel *et al.*, *supra*). Alternatively, *recE* or *recT* cDNA can be identified by PCR techniques, such as RACE (Rapid Amplification of cDNA Ends; Ausubel *et al.*, *supra*), using two primers designed from the *E. coli recE* or *recT* sequence: a "forward" primer having the same sequence as the 5' end of the *E. coli recE* or *recT* mRNA, and a "reverse" primer complementary to its 3' end. The PCR product can be verified by sequencing, subcloned, and used to construct the RecE/T of the invention. Such cDNA sequences can also be used to isolate *S. typhimurium* genomic *recE* or *recT* sequences, using methods well known in the art (Sambrook *et al.*, 1989, *supra*; Ausubel *et al.*, *supra*).

Nucleic acid molecules encoding the RecE/T recombination enzymes of the invention can, further, be synthesized and/or constructed according to recombinant and synthetic means well known to those of skill in the art (See *e.g.*, Sambrook, *supra* and Ausubel *et al.*, *supra*).

- As discussed below, the ability to control the expression of the sequences such that expression can be regulatable (*e.g.* inducible) and such that a wide range of expression levels can be achieved is beneficial to the performance of the methods of the invention.

- The nucleic acid molecules can, for example, be maintained extrachromosomally, *e.g.*, on a plasmid, cosmid or a bacteriophage. Alternatively, the nucleic acid molecules can be integrated into the chromosome, *e.g.*, *E. coli* chromosome, utilizing, for example, phage transduction or transposition. Thus, the RecE/T coding sequences can be engineered by standard techniques to be present in high copy, low copy or single copy within each cell. A variety of different regulatory sequences can be also utilized for driving expression of the recombination proteins. Each of these aspects of

expression/strain construction can be manipulated to yield cells exhibiting a wide range of recombination protein expression levels. It is to be noted that single copy chromosomal versions of the recombination protein coding sequences are additionally advantageous in that such a configuration facilitates construction of strains.

5

#### 5.2.2.1 PROTEIN EXPRESSION

The bacterial recombinase may be expressed either constitutively or inducibly in bacterial, yeast, insect, or mammalian cells. In a preferred embodiment, recombination proteins are expressed in a bacterial, most preferably, *E. coli* strain. For  
10 example, the host cell may comprise the *recE* and *recT* genes located on the host cell chromosome. Examples of *E. coli* strains in which the expression of RecE/T is endogenous are known, for example, *E. coli sbcA* strains (Zhang *et al.*, 1998, *supra*). Alternatively RecE/T may be recombinantly expressed from non-chromosomal DNA, preferably on a plasmid vector, *e.g.*, pBADET $\gamma$  (Zhang *et al.*, 1998, *supra*) or pGETrec (Narayanan *et al.*,  
15 1999, Gene Ther. 6:442-447. Similarly Red $\alpha$ / $\beta$  can be endogenous to strains that have integrated  $\lambda$  prophage, or expressed from plasmids, for example pBAD $\alpha\beta\gamma$  (Muyrers *et al.*, 1999, *supra*). RecE/T and/or Red $\alpha$ / $\beta$  expression constructs can be constructed according to standard recombinant DNA techniques (see *e.g.*, Methods in Enzymology, 1987, volume 154, Academic Press; Sambrook *et al.* 1989, Molecular Cloning - A Laboratory Manual,  
20 2nd Edition, Cold Spring Harbor Press, New York; and Ausubel *et al.* Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, New York, each of which is incorporated herein by reference in its entirety).

In one embodiment, RecE/T and/or Red $\alpha$ / $\beta$  is expressed in *E. coli* from a high-copy plasmid such as a plasmid containing a ColE1-derived origin of replication,  
25 examples of which are well known in the art (see Sambrook *et al.*, 1989, *supra*; see also Miller, 1992, A Short Course in Bacterial Genetics, Cold Spring Harbor Laboratory Press, NY, and references therein), such as pUC19 and its derivatives (Yanisch-Perron *et al.*, 1985, Gene 33:103-119).

With respect to regulatory controls which allow expression (either regulated  
30 or constitutive) at a range of different expression levels, a variety of such regulatory

sequences are well known to those of skill in the art. The ability to generate a wide range of expression is advantageous for utilizing the methods of the invention, as described below. Such expression can be achieved in a constitutive as well as in a regulated, or inducible, fashion.

5 Inducible expression yielding a wide range of expression can be obtained by utilizing a variety of inducible regulatory sequences. In one embodiment, for example, the *lacI* gene and its gratuitous inducer IPTG can be utilized to yield inducible, high levels of expression of RecE/T when sequences encoding such polypeptides are transcribed via the *lacOP* regulatory sequences.

10 RecE and RecT may be expressed from different promoters, or alternatively, the *recE* and *recT* genes may be expressed on a polycistronic mRNA from a single promoter. Such heterologous promoters may be inducible or constitutive. Preferably the expression is controlled by an inducible promoters. Inducible expression yielding a wide range of expression can be obtained by utilizing a variety of inducible regulatory sequences.

15 In one embodiment, for example, the *lacI* gene and its gratuitous inducer IPTG can be utilized to yield inducible, high levels of expression of RecE/T when sequences encoding such polypeptides are transcribed via the *lacOP* regulatory sequences. A variety of other inducible promoter systems are well known to those of skill in the art which can also be utilized. Levels of expression from RecE/T or Red $\alpha$ / $\beta$  constructs can also be varied by  
20 using promoters of different strengths.

Other regulated expression systems that can be utilized include but are not limited to, the *araC* promoter which is inducible by arabinose (AraC), the TET system (Geissendorfer and Hillen, 1990, Appl. Microbiol. Biotechnol. 33:657-663), the *p<sub>L</sub>* promoter of phage  $\lambda$  temperature and the inducible lambda repressor CI<sub>857</sub> (Pirrotta, 1975, Nature 254: 25 114-117; Petrenko *et al.*, 1989, Gene 78:85-91), the *trp* promoter and *trp* repressor system (Bennett *et al.*, 1976, Proc. Natl. Acad. Sci USA 73:2351-55; Wame *et al.*, 1986, Gene 46:103-112), the *lacUV5* promoter (Gilbert and Maxam, 1973, Proc. Natl. Acad. Sci. USA 70:1559-63), *lpp* (Nokamura *et al.*, *et al.*, 1982, J. Mol. Appl. Gen. 1:289-299), the T7 gene-10 promoter, *phoA* (alkaline phosphatase), *recA* (Horii *et al.* 1980), and the *tac* promoter, a  
30 *trp-lac* fusion promoter, which is inducible by tryptophan (Amann *et al.*, 1983, Gene

25:167-78), for example, are all commonly used strong promoters, resulting in an accumulated level of about 1 to 10% of total cellular protein for a protein whose level is controlled by each promoter. If a stronger promoter is desired, the *tac* promoter is approximately tenfold stronger than *lacUV5*, but will result in high baseline levels of  
5 expression, and should be used only when overexpression is required. If a weaker promoter is required, other bacterial promoters are well known in the art, for example, maltose, galactose, or other desirable promoter (sequences of such promoters are available from Genbank (Burks *et al.* 1991, Nucl. Acids Res. 19:2227-2230).

Cells useful for the methods described herein are any cells containing  
10 *RecE/T* and/or *Red $\alpha$ / $\beta$*  recombinases. Preferably, the host cell is a gram-negative bacterial cell. More preferably, the host cell is an entero-bacterial cell. Members of the family *Enterobacteriaceae* include, but are not limited to, species of *Escherichia*, *Salmonella*, *Citrobacter*, *Klebsiellae*, and *Proteus*. Most preferably the host cell is an *Escherichia coli* cell. Cells can also be derived from any organism, including, but not limited to, yeast, fly,  
15 mouse, or human cells, provided they can be engineered to express a suitable recombinase. The recombinase is preferably *RecE/T* recombinase derived from *E. coli*, or *Red $\alpha$ / $\beta$*  recombinase derived from phage  $\lambda$ , or a functionally equivalent *RecE/T* or *Red $\alpha$ / $\beta$*  recombinase system derived from *Enterobacteriaceae* or an *Enterobacteriaceae* phage, wherein such systems can mediate recombination between regions of sequence homology.

20 Cells expressing *RecE/T* and/or *Red $\alpha$ / $\beta$*  proteins may be made electrocompetent in advance and stored at -70°C.

Alternatively, the methods of the invention may be carried out in any other cell type in which expression of *RecE/T* and/or *Red $\alpha$ / $\beta$*  is possible. For example, a variety of host-vector systems may be utilized to express the protein-coding sequence. These  
25 include but are not limited to mammalian cell systems infected with virus (e.g., vaccinia virus, adenovirus, etc.); insect cell systems infected with virus (e.g., baculovirus); microorganisms such as yeast containing yeast vectors, or bacteria transformed with bacteriophage, DNA, plasmid DNA, or cosmid DNA. The expression elements of vectors vary in their strengths and specificities. Depending on the host-vector system utilized, any  
30 one of a number of suitable transcription and translation elements may be used. In specific

embodiments, the RecE/T and/or Red $\alpha$ / $\beta$  genes are expressed, or a sequence encoding a functionally active portion of RecE/T and/or Red $\alpha$ / $\beta$ . In yet another embodiment, a fragment of RecE/T or Red $\alpha$ / $\beta$  comprising a domain of the RecE/T and/or Red $\alpha$ / $\beta$  proteins are expressed.

- 5 Any of the methods previously described for the insertion of DNA fragments into a vector may be used to construct expression vectors containing a chimeric gene consisting of appropriate transcriptional/translational control signals and the protein coding sequences. These methods may include *in vitro* recombinant DNA and synthetic techniques and *in vivo* recombinants (genetic recombination). Expression of nucleic acid sequence
- 10 encoding a RecE/T or Red $\alpha$ / $\beta$  protein or peptide fragment may be regulated by a second nucleic acid sequence so that the RecE/T or Red $\alpha$ / $\beta$  protein or peptide is expressed in a host transformed with the recombinant DNA molecule. For example, expression of a RecE/T or Red $\alpha$ / $\beta$  protein may be controlled by any promoter/enhancer element known in the art. Promoters which may be used to control RecE/T or Red $\alpha$ / $\beta$  expression include, but are not
- 15 limited to, the SV40 early promoter region (Bernoist and Chambon, 1981, Nature 290:304-310), the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (Yamamoto, *et al.*, 1980, Cell 22:787-797), the herpes thymidine kinase promoter (Wagner *et al.*, 1981, Proc. Natl. Acad. Sci. U.S.A. 78:1441-1445), the regulatory sequences of the metallothionein gene (Brinster *et al.*, 1982, Nature 296:39-42); plant expression vectors
- 20 comprising the nopaline synthetase promoter region (Herrera-Estrella *et al.*, 1984, Nature 303:209-213) or the cauliflower mosaic virus 35S RNA promoter (Gardner, *et al.*, 1981, Nucl. Acids Res. 9:2871), and the promoter of the photosynthetic enzyme ribulose biphosphate carboxylase (Herrera-Estrella *et al.*, 1984, Nature 310:115-120); promoter elements from yeast or other fungi such as the Gal 4 promoter, the ADC (alcohol
- 25 dehydrogenase) promoter, PGK (phosphoglyceroyl kinase) promoter, alkaline phosphatase promoter, and the following animal transcriptional control regions, which exhibit tissue specificity and have been utilized in transgenic animals: elastase I gene control region which is active in pancreatic acinar cells (Swift *et al.*, 1984, Cell 38:639-646; Ornitz *et al.*, 1986, Cold Spring Harbor Symp. Quant. Biol. 50:399-409; MacDonald, 1987, Hepatology
- 30 7:425-515); insulin gene control region which is active in pancreatic beta cells (Hanahan,



- 1985, *Nature* 315:115-122), immunoglobulin gene control region which is active in lymphoid cells (Grosschedl *et al.*, 1984, *Cell* 38:647-658; Adames *et al.*, 1985, *Nature* 318:533-538; Alexander *et al.*, 1987, *Mol. Cell. Biol.* 7:1436-1444), mouse mammary tumor virus control region which is active in testicular, breast, lymphoid and mast cells
- 5 (Leder *et al.*, 1986, *Cell* 45:485-495), albumin gene control region which is active in liver (Pinkert *et al.*, 1987, *Genes and Devel.* 1:268-276), alpha-fetoprotein gene control region which is active in liver (Krumlauf *et al.*, 1985, *Mol. Cell. Biol.* 5:1639-1648; Hammer *et al.*, 1987, *Science* 235:53-58; alpha 1-antitrypsin gene control region which is active in the liver (Kelsey *et al.*, 1987, *Genes and Devel.* 1:161-171), beta-globin gene control region
- 10 which is active in myeloid cells (Mogram *et al.*, 1985, *Nature* 315:338-340; Kollias *et al.*, 1986, *Cell* 46:89-94; myelin basic protein gene control region which is active in oligodendrocyte cells in the brain (Readhead *et al.*, 1987, *Cell* 48:703-712); myosin light chain-2 gene control region which is active in skeletal muscle (Sani, 1985, *Nature* 314:283-286), and gonadotropic releasing hormone gene control region which is active in the
- 15 hypothalamus (Mason *et al.*, 1986, *Science* 234:1372-1378).

In a specific embodiment, a vector is used that comprises a promoter operably linked to a bacterial recombinase (*e.g.*, RecE or RecT)-encoding nucleic acid, one or more origins of replication, and, optionally, one or more selectable markers (*e.g.*, an antibiotic resistance gene).

- 20 The chosen vector must be compatible with the vector plasmid described in Section 5.2.1, above. One of skill in the art would readily be aware of the compatibility requirements necessary for maintaining multiple plasmids in a single cell. Methods for propagation of two or more constructs in procaryotic cells are well known to those of skill in the art. For example, cells containing multiple replicons can routinely be selected for and
- 25 maintained by utilizing vectors comprising appropriately compatible origins of replication and independent selection systems (see Miller *et al.*, 1992, *supra*; Sambrook *et al.*, 1989, *supra*).

### 5.2.3 HOST CELLS

The host cell used for the cloning methods of the present invention and for propagation of the cloned DNA can be any cell which expresses the *recE* and *recT* and/or *red $\alpha$*  and *red $\beta$*  gene products, or any cell in which heterologous expression of these genes is possible. Examples of possible cell types that can be used include, but are not limited to, prokaryotic eukaryotic cells such as bacterial, yeast, plant, rodent, mice, human, insect, or mammalian cells. In a preferred embodiment, the host cell is a bacterial cell. In the most preferred embodiment, the host cell is an *E. coli* cell. Examples of specific *E. coli* strains that can be used are JC 8679 and JC 9604. The genotype of JC 8679 and JC 9604 is Sex (Hfr, F+, F-, or F'): F-JC 8679 comprises the mutations: *recBC* 21, *recC* 22, *sbcA* 23, *thr*-1, *ara*-14, *leu* B 6, *DE* (*gpt-proA*) 62, *lacY*1, *tsx*-33, *gluV*44 (AS), *galK*2 (Oc), *LAM-his*-60, *relA* 1, *rps* L31 (*strR*), *xyl* A5, *mtl*-1, *argE*3 (Oc) and *thi*-1. JC 9604 comprises the same mutations and further the mutation *recA* 56.

In an alternative embodiment, a eukaryotic cell may be used as a host cell for the cloning and subcloning methods described herein. Any cell that expresses or can be engineered to express a bacterial recombinase, or functional equivalents thereof, can be used. Cell lines derived from human, mouse, monkey, or any other organism may be used. For example, non-limiting examples of cell lines useful for the methods of the invention include CHO, VERO, BHK, HeLa, COS, MDCK, 293, 3T3, and WI38 cells.

A variety of host-vector systems may be utilized to introduce and express the protein-coding sequence of *RecE/T*, *Red $\alpha/\beta$*  or a functionally equivalent system. Such methods are well known in the art (see Ausubel *et al.*, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, New York). These include but are not limited to mammalian cell systems infected with virus (*e.g.*, vaccinia virus, adenovirus, etc.); insect cell systems infected with virus (*e.g.*, baculovirus); microorganisms such as yeast containing yeast vectors, or bacteria transformed with bacteriophage, DNA, plasmid DNA, or cosmid DNA. Methods for protein expression are also discussed in Section 5.2.2, above.

#### 5.2.4 TARGET DNA

The target DNA is chosen according to experimental design, and may be any double-stranded DNA as short as one base pair or over one hundred kilobases in length. In a specific embodiment, the target is up to 100, 125, 200, or 300 kb in length. In another specific embodiment, the target DNA is 25 to 100 kilobases, e.g., as present in a BAC vector. Other specific embodiments of target DNAs are set forth in the Examples in Section 6. The target DNA may reside on any independently replicating DNA molecule such as, but not limited to, a plasmid, BAC or the *E. coli* chromosome. The target DNA may also reside on any source of DNA including, but not limited to, DNA from any prokaryotic, archaeobacterial or eukaryotic cell, or from viral, phage or synthetic origins. For example, nucleic acid sequences may be obtained from the following sources: human, porcine, bovine, feline, avian, equine, canine, insect (e.g., *Drosophila*), invertebrate (e.g., *C. elegans*), plant, etc. The DNA may be obtained by standard procedures known in the art (see, e.g., Sambrook *et al.*, 1989, Molecular Cloning, A Laboratory Manual, 2d Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York; Glover (ed.), 1985, DNA Cloning: A Practical Approach, MRL Press, Ltd., Oxford, U.K. Vol. I, II).

### 5.3 METHODS FOR USE OF THE INVENTION

#### 5.3.1 INTRODUCTION OF DNA INTO HOST CELLS

Any method known in the art for delivering a DNA preparation comprising the target DNA into a host cell is suitable for use with the methods described above. Such methods are known in the art and include, but are not limited to electroporation of cells, preparing competent cells with calcium or rubidium chloride, transduction of DNA with target DNA packaged in viral particles. For eukaryotic cells, methods include but are not limited to electroporation, transfection with calcium phosphate precipitation of DNA, and viral packaging. In a preferred embodiment, electroporation is used. Cells containing RecE/T or Red $\alpha/\beta$  proteins are treated to make them competent for electroporation by standard methods (see Ausubel *et al.*, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, New York). Preferably, about 50  $\mu$ l of a standard preparation of electro-competent cells is used for electroporation by standard

procedures. In experiments that require the transformation of a linear or circular vector, 0.3 µg or more of vector is preferably used. In experiments that require the transformation of a DNA preparation containing the target DNA, 0.3 µg or more is preferably used. For co-transformation experiments, the DNAs are preferably mixed before electroporation. After  
5 electroporation, the cells are preferably diluted in culture medium and incubated for an approximately 1 and a half hours recovery period before culturing under conditions to identify the phenotypic change conveyed by the selectable marker gene.

In experiments utilizing site-specific recombination or endonuclease cleavage of the vector, expression of the SSR or the endonuclease, or combinations of an  
10 SSR and an endonuclease or two SSRs, is induced either before preparation of electrocompetent cells, during the recovery period after electroporation, or during culture to identify the selectable marker.

Optimally the phenotypic change is resistance to an antibiotic and the cells are cultured on plates that contain the corresponding antibiotic. In this case, the antibiotic  
15 resistant colonies that appear after overnight culture will predominantly contain the desired subcloning product.

In another embodiment, DNA is delivered into the host cell by transduction of DNA that has been packaged into a phage particle. P1 or λ transduction and packaging protocols are known in the art. λ packaging extracts are available commercially (e.g., from  
20 Promega, Madison, WI).

### 5.3.2 OLIGONUCLEOTIDES

The oligonucleotide homology arms, primers, and adapter oligonucleotides  
25 used in conjunction with the methods of the invention are often oligonucleotides ranging from 10 to about 100 nucleotides in length. In specific aspects, an oligonucleotide is 10 nucleotides, 15 nucleotides, 20 nucleotides, 50 nucleotides, or 100 nucleotides in length, or up to 200 nucleotides in length. In the preferred embodiment, the oligonucleotide is approximately 90 nucleotides in length.

30

Oligonucleotides may be synthesized using any method known in the art (e.g., standard phosphoramidite chemistry on an Applied Biosystems 392/394 DNA synthesizer). Further, reagents for synthesis may be obtained from any one of many commercial suppliers.

- 5 An oligonucleotide or derivative thereof used in conjunction with the methods of this invention may be synthesized using any method known in the art, e.g., by use of an automated DNA synthesizer (such as are commercially available from Biosearch, Applied Biosystems, etc.). As examples, phosphorothioate oligonucleotides may be synthesized by the method of Stein *et al.* (1988, Nucl. Acids Res. 16, 3209),
- 10 methylphosphonate oligonucleotides can be prepared by use of controlled pore glass polymer supports (Sarin *et al.*, 1988, Proc. Nat'l Acad. Sci. U.S.A. 85, 7448-7451), etc.

An oligonucleotide may comprise at least one modified base, provided that such modification does not interfere with homologous recombination. For example, such modifications may include, but are not limited to 5-fluorouracil, 5-bromouracil,

- 15 5-chlorouracil, 5-iodouracil, hypoxanthine, xanthine, 4-acetylcytosine, 5-(carboxyhydroxymethyl) uracil, 5-carboxymethylaminomethyl-2-thiouridine, 5-carboxymethylaminomethyluracil, dihydrouracil, beta-D-galactosylqueosine, inosine, N6-isopentenyladenine, 1-methylguanine, 1-methylinosine, 2,2-dimethylguanine, 2-methyladenine, 2-methylguanine, 3-methylcytosine, 5-methylcytosine, N6-adenine,
- 20 7-methylguanine, 5-methylaminomethyluracil, 5-methoxyaminomethyl-2-thiouracil, beta-D-mannosylqueosine, 5'-methoxycarboxymethyluracil, 5-methoxyuracil, 2-methylthio-N6-isopentenyladenine, uracil-5-oxyacetic acid (v), pseudouracil, queosine, 2-thiocytosine, 5-methyl-2-thiouracil, 2-thiouracil, 4-thiouracil, 5-methyluracil, uracil-5-oxyacetic acid methylester, uracil-5-oxyacetic acid (v), 5-methyl-2-thiouracil, 3-(3-amino-3-N-2-
- 25 carboxypropyl) uracil, and 2,6-diaminopurine.

- An oligonucleotide may comprise at least one modified phosphate backbone, provided that such modification does not interfere with homologous recombination. Such modification may include, but is not limited to, a phosphorothioate, a phosphorodithioate, a phosphoramidothioate, a phosphoramidate, a phosphordiamidate, a methylphosphonate, an
- 30 alkyl phosphotriester, and a formacetal or analog thereof.

### 5.3.3 DNA AMPLIFICATION

The polymerase chain reaction (PCR) is optionally used in connection with the invention to amplify a desired sequence from a source (e.g., a tissue sample, a genomic or cDNA library). Oligonucleotide primers representing known sequences can be used as  
5 primers in PCR. PCR is typically carried out by use of a thermal cycler (e.g., from Perkin-Elmer Cetus) and a thermostable polymerase (e.g., Gene Amp™ brand of Taq polymerase). The nucleic acid template to be amplified may include but is not limited to mRNA, cDNA or genomic DNA from any species. The PCR amplification method is well known in the art (see, e.g., U.S. Patent Nos. 4,683,202, 4,683,195 and 4,889,818; Gyllenstein *et al.*, 1988,  
10 Proc. Nat'l. Acad. Sci. U.S.A. 85, 7652-7656; Ochman *et al.*, 1988, Genetics 120, 621-623; Loh *et al.*, 1989, Science 243, 217-220).

### 5.4 METHODS FOR DIAGNOSTIC APPLICATIONS

15 The methods of the present invention may be used to detect, prognose, diagnose, or monitor various infections, conditions, diseases, and disorders associated with the presence of a foreign DNA or variant DNA, or monitor the treatment thereof. For example, as described in Section 5.4.1, below, the methods may be used to detect, prognose, diagnose, or monitor various infections and diseases, such as diseases associated with a viral  
20 infection, a bacterial infection, or infection by a protozoan, parasite, or other known pathogen. As described in Section 5.4.2, below, the methods can also be used to detect, prognose, diagnose, or monitor various infections, conditions, diseases, and disorders associated with the presence of variant DNA, such as a genetic mutation or a single nucleotide polymorphism (SNP). Methods for such diagnostic purposes are described in  
25 detail hereinbelow.

#### 5.4.1 DETECTION OF FOREIGN DNA

The methods of the invention described hereinabove can be used to detect foreign DNA, such as a viral or bacterial DNA, stemming from exposure to a pathogen, in a  
30 patient exposed to the pathogen. The patient may or may not exhibit the symptoms of

infection by the pathogen or the presence of a disease or disorder associated by the presence of the pathogen. In one embodiment, for example, a target DNA sample can be prepared from the DNA from a patient having or suspected of having such a disease or infection. Homology arms having sequence homology to a foreign target DNA can be designed and  
5 prepared. The sample DNA can then be introduced into an *E. coli* host cell that expresses a bacterial recombinase and that contains the vector DNA, by any of the methods described in Section 5.1, above. In an alternative embodiment, adaptor oligonucleotides can be designed comprising a first sequence homologous to a vector sequence and a second  
10 sequence homologous to the foreign target DNA, oriented as described in detail in Section 5.1, above. Such adaptor oligonucleotides can be used either to co-transfect, together with the sample DNA and the vector DNA, an *E. coli* host cell that expresses RecE/T or Red $\alpha$ / $\beta$ , or can be transfected directly into cells that already comprise vector DNA and sample DNA. Cells are then grown in selective media, as described in Section 5.1 above, and cells that resist selection can be analyzed for the presence of an insert of the appropriate size.

15 The target DNA can be isolated from a patient or subject's biological sample, such as, but not limited to, whole blood, plasma, serum, skin, saliva, urine, lymph fluid, cells obtained from biopsy aspirate, tissue culture cells, media, or non-biological samples such as food, water, or other material. Methods for preparation of DNA from such sources are well known to those of skill in the art (see, e.g., Current Protocols in Molecular Biology  
20 series of laboratory technique manuals, 1987-1994 Current Protocols, 1994-1997 John Wiley and Sons, Inc.).

In one embodiment, for example, where it is desired to detect or diagnose a viral infection or disease, the homology arms can comprise DNA sequences homologous to DNA sequences of known viral DNA. The methods can be used to detect and isolate viral  
25 DNA either as a viral DNA strand, or a DNA replicative intermediate of a DNA or an RNA virus.

In one embodiment, for example, DNA genomes or replicative intermediates of DNA viruses may be directly targeted using homology arm sequences designed to be homologous to viral sequences of such DNA viruses including, but not limited to, hepatitis  
30 type B virus, parvoviruses, such as adeno-associated virus and cytomegalovirus,

papovaviruses such as papilloma virus, polyoma viruses, and SV40, adenoviruses, herpes viruses such as herpes simplex type I (HSV-I), herpes simplex type II (HSV-II), and Epstein-Barr virus, and poxviruses, such as variola (smallpox) and vaccinia virus. In another embodiment, the replicative intermediates of retroviral RNA viruses that replicate through a DNA intermediate may be directly targeted using homology arm sequences designed to be homologous to viral sequences of such RNA viruses, including but not limited to human immunodeficiency virus type I (HIV-I), human immunodeficiency virus type II (HIV-II), human T-cell lymphotropic virus type I (HTLV-I), and human T-cell lymphotropic virus type II (HTLV-II). In another embodiment, in order to detect and isolate the genomic or replicative intermediates of RNA virus that replicate through an RNA intermediate, RNA may be isolated and transcribed into a cDNA copy of the RNA using reverse transcriptase according to methods well known in the art. Such cDNA copies may be used as target DNA to detect the presence of RNA viruses such as influenza virus, measles virus, rabies virus, Sendai virus, picornaviruses such as poliomyelitis virus, coxsackieviruses, rhinoviruses, reoviruses, togaviruses such as rubella virus (German measles) and Semliki forest virus, arboviruses, and hepatitis type A virus.

In another preferred embodiment, where it is desired to diagnose or detect bacterial infections, the homology arms can comprise DNA sequences homologous to DNA sequences of known bacteria. For example, in one embodiment, such homology arm DNA sequences may be homologous to cDNA or genomic DNA of a pathogenic bacteria including, but not limited to, *Streptococcus pyogenes*, *Streptococcus pneumoniae*, *Neisseria gonorrhoea*, *Neisseria meningitidis*, *Corynebacterium diphtheriae*, *Clostridium botulinum*, *Clostridium perfringens*, *Clostridium tetani*, *Haemophilus influenzae*, *Klebsiella pneumoniae*, *Klebsiella ozaenae*, *Klebsiella rhinoscleromatis*, *Staphylococcus aureus*, *Vibrio cholerae*, *Escherichia coli*, *Pseudomonas aeruginosa*, *Campylobacter (Vibrio) fetus*, *Campylobacter jejuni*, *Aeromonas hydrophila*, *Bacillus cereus*, *Edwardsiella tarda*, *Yersinia enterocolitica*, *Yersinia pestis*, *Yersinia pseudotuberculosis*, *Shigella dysenteriae*, *Shigella flexneri*, *Shigella sonnei*, *Salmonella typhimurium*, *Treponema pallidum*, *Treponema pertenue*, *Treponema carateneum*, *Borrelia vincentii*, *Borrelia burgdorferi*, *Leptospira icterohemorrhagiae*, *Mycobacterium tuberculosis*, *Toxoplasma gondii*,



*Pneumocystis carinii*, *Francisella tularensis*, *Brucella abortus*, *Brucella suis*, *Brucella melitensis*, *Mycoplasma spp.*, *Rickettsia prowazeki*, *Rickettsia tsutsugumushi*, *Chlamydia spp.*, and *Helicobacter pylori*.

In another embodiment, such homology arm DNA sequences may be  
5 homologous to cDNA or genomic DNA of a pathogenic fungi including, but not limited to, *Coccidioides immitis*, *Aspergillus fumigatus*, *Candida albicans*, *Blastomyces dermatitidis*, *Cryptococcus neoformans*, and *Histoplasma capsulatum*.

In another preferred embodiment, where it is desired to diagnose or detect protozoal infections, the homology arms can comprise DNA sequences homologous to  
10 DNA sequences of known protozoan. For example, such homology arm DNA sequences may be homologous to cDNA or genomic DNA of any known protozoan. Especially interesting are pathogenic protozoans such as *Entamoeba histolytica*, *Trichomonas tenax*, *Trichomonas hominis*, *Trichomonas vaginalis*, *Trypanosoma gambiense*, *Trypanosoma rhodesiense*, *Trypanosoma cruzi*, *Leishmania donovani*, *Leishmania tropica*, *Leishmania*  
15 *braziliensis*, *Pneumocystis pneumonia*, *Plasmodium vivax*, *Plasmodium falciparum*, and *Plasmodium malaria*.

In yet another preferred embodiment, where it is desired to diagnose or detect parasitic infections, the homology arms can comprise DNA sequences homologous to DNA sequences of known parasite. For example, such homology arm DNA sequences may  
20 be homologous to cDNA or genomic DNA of any known parasite including, such as Helminths including, *Enterobius vermicularis*, *Trichuris trichiura*, *Ascaris lumbricoides*, *Trichinella spiralis*, *Strongyloides stercoralis*, *Schistosoma japonicum*, *Schistosoma mansoni*, *Schistosoma haematobium*, and hookworms.

#### 25 5.4.2 DIAGNOSIS OF MUTATIONS AND POLYMORPHISMS IN CELLULAR DNA

The methods of the invention can also be used to isolate and detect genetic disorders in a patient's sample, and to prognose, diagnose, or monitor various conditions, diseases, and disorders associated with the presence of variant DNA, such as a genetic

mutation or a single nucleotide polymorphism (SNP), as well as to detect a genetic disposition for developing a disease or disorder.

In one embodiment, for example, a target DNA sample can be prepared from DNA isolated from a sample from a patient having or suspected of having such a genetic  
5 disease or disorder. In a preferred embodiment, a vector comprising homology arms having sequence homologous to a particular gene of interest or genomic region of interest can be designed and prepared, and, introduced into an *E. coli* host cell that expresses a bacterial recombinase such as RecE/T and/or Red $\alpha/\beta$ . The sample DNA can then be introduced into the host cell. In an alternative embodiment, adaptor oligonucleotides can be designed  
10 comprising a first sequence homologous to a vector sequence and a second sequence homologous to the DNA of the target gene of interest, oriented as described in detail in Section 5.1, above. In a preferred embodiment, such adaptor oligonucleotides can be used either to co-transfect, together with the sample DNA, an *E. coli* host cell that expresses RecE/T and/or Red $\alpha/\beta$  and contains the vector DNA. Alternatively, any of the other  
15 methods for homologous recombination cloning described in detail in Section 5.1, above, can be used. Cells are then grown in selective media, as described in Section 5.1 above, and cells that resist selection can be analyzed for the presence of an insert of the appropriate size. DNA can then be analyzed for the presence of a mutation or DNA variation of interest by restriction analysis or sequencing techniques well known in the art (see, e.g., Current  
20 Protocols in Molecular Biology series of laboratory technique manuals, 1987-1994 Current Protocols, 1994-1997 John Wiley and Sons, Inc.).

In an alternative embodiment, the homology arm or adaptor oligonucleotide may contain the sequence of the genetic mutation or DNA polymorphism of interest. In this embodiment, recombination will only occur if the sample DNA contains the mutation. This  
25 may be useful for diagnostic screening of a large number of samples for a particular mutation or DNA polymorphism, since only cells containing a particular mutation will be resistant to selection.

The target DNA may be obtained from any DNA sample, such as genomic DNA, cDNA, or mitochondrial DNA. In one embodiment, for example, the target DNA can  
30 be a region of a human chromosome. In another embodiment, the target DNA is present in

a mixed population, *e.g.*, a population of genomic DNAs derived from a plurality of subjects of interest, for example, subjects afflicted with a particular disorder. Such target DNA can be obtained from a biological sample, such as, but not limited to, whole blood, plasma, serum, skin, saliva, urine, lymph fluid, cells obtained from biopsy aspirate, tissue  
5 culture cells, media, or non-biological samples such as food, water, or other material.

Methods for preparation of DNA from such sources are well known to those of skill in the art (see, *e.g.*, Current Protocols in Molecular Biology series of laboratory technique manuals, 1987-1994 Current Protocols, 1994-1997 John Wiley and Sons, Inc.).

Non-limiting examples of genetic disorders that can be tested using this  
10 method include mutations and SNPs associated with such hereditary diseases as *Brca-1* associated with breast cancer, mutations implicated in cystic fibrosis, Tay-Sachs disease, sickle cell anemia, hemophilia, atherosclerosis, diabetes, leukemia, prostate and other cancers, and obesity. Such hereditary diseases may include degenerative and non-degenerative neurological diseases such as Alzheimer's disease, Parkinson's disease,  
15 amyotrophic lateral sclerosis, Huntington's disease, Wilson's disease, spinal cerebellar ataxia, Friedreich's ataxia and other ataxias, prion diseases including Creutzfeldt-Jakob disease, dentatorubral pallidoluysian atrophy, spongiform encephalopathies, myotonic dystrophy, depression, schizophrenia, and epilepsy. Hereditary diseases may also include metabolic diseases such as, for example, hypoglycemia or phenylketonuria. Cardiovascular  
20 diseases and conditions are also included, non-limiting examples of which include atherosclerosis, myocardial infarction, and high blood pressure. The invention can further be used for detection and diagnosis of Lyme disease, tuberculosis, and sexually transmitted diseases.

In another embodiment, the homologous recombination cloning methods of  
25 the invention can be used for determining the genetic basis of a disease or disorder. For example, target DNA can be isolated from a sample of a patient or patients afflicted with a disorder whose genetic basis is not known. In one embodiment, the cloning methods could be used to isolate a region of a chromosome known or suspected to be implicated in such a disease or disorder, from a group of patients known or suspected of having such a disorder.  
30 The recovered DNA can then be isolated and analyzed further for the presence of genetic

- mutations or polymorphisms, using techniques well known in the art for mapping variations in DNA, such as restriction fragment length polymorphism, or other SNP detection techniques (see, *e.g.*, Nikiforov *et al.*, U.S. Patent No. 5,679,524 issued Oct 21, 1997; McIntosh *et al.*, PCT publication WO 98/59066 dated December 30, 1998; Goelet *et al.*,  
5 PCT publication WO 95/12607 dated May 11, 1995; Wang *et al.*, 1998, Science 280:1077-1082; Tyagi *et al.*, 1998, Nature Biotechnol. 16:49-53; Chen *et al.*, 1998, Genome Res. 8:549-556; Pastinen *et al.*, 1996, Clin. Chem. 42:1391-1397; Chen *et al.*, 1997, Proc. Natl. Acad. Sci. 94:10756-10761; Shuber *et al.*, 1997, Hum. Mol. Gen. 6:337-347; Liu *et al.*, 1997, Genome Res. 7:389-398; Livak *et al.*, 1995, Nature Genet. 9:341-342; Day and  
10 Humphries, 1994, Annal. Biochem. 222:389-395).

- Non-limiting examples of target disorders of clinical interest include asthma, arthritis, psoriasis, excema, allergies, drug resistance, drug toxicity, and cancers such as, but not limited to, human sarcomas and carcinomas, *e.g.*, fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chordoma, angiosarcoma,  
15 endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate cancer, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary  
20 carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilms' tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendroglioma, meningioma,  
25 melanoma, neuroblastoma, retinoblastoma; leukemias, *e.g.*, acute lymphocytic leukemia and acute myelocytic leukemia (myeloblastic, promyelocytic, myelomonocytic, monocytic and erythroleukemia); chronic leukemia (chronic myelocytic (granulocytic) leukemia and chronic lymphocytic leukemia); and polycythemia vera, lymphoma (Hodgkin's disease and non-Hodgkin's disease), multiple myeloma, Waldenström's macroglobulinemia, and heavy  
30 chain disease. The homologous recombination cloning methods can further be useful in

diagnosing and detecting genetic differences and diagnosis of patients with autoimmune diseases, including but not limited to, insulin dependent diabetes mellitus, multiple sclerosis, systemic lupus erythematosus, Sjogren's syndrome, scleroderma, polymyositis, chronic active hepatitis, mixed connective tissue disease, primary biliary cirrhosis, pernicious anemia, autoimmune thyroiditis, idiopathic Addison's disease, vitiligo, gluten-sensitive enteropathy, Graves' disease, myasthenia gravis, autoimmune neutropenia, idiopathic thrombocytopenia purpura, rheumatoid arthritis, cirrhosis, pemphigus vulgaris, autoimmune infertility, Goodpasture's disease, bullous pemphigoid, discoid lupus, ulcerative colitis, and dense deposit disease.

10 Homologous recombination cloning methods may also be used for isolating, diagnosing, and detecting DNA mutations, alterations, variations, and SNPs not associated with disease. Non-limiting examples include such DNA mutations, alterations, variations, and SNPs present in non-coding genomic sequences, or DNA mutations, alterations, variations, and SNPs associated with different human blood groups.

15 In a preferred aspect of the invention, the methods of the invention may have particular utility in the isolation, detection, diagnosis, prognosis, or monitoring of human DNA mutations, alterations, variations, and SNPs. It is appreciated, however, that the methods described herein will be useful in isolating, detecting, diagnosing, prognosing, or monitoring diseases of other mammals, for example, farm animals including cattle, horses, 20 sheep, goat, and pigs, household pets including cats and dogs; and plants including agriculturally important plants and garden plants.

### 5.5 KITS

The invention further provides kits that facilitate the use of the homologous 25 recombination cloning and subcloning methods described herein. In one embodiment, a kit is provided comprising, in one or more containers: A) a double-stranded DNA vector useful for directed cloning and subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a 30 second homology arm; such that the nucleotide sequence of the first homology arm on a

first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand; and b) a cell containing a bacterial recombinase. The cell can  
5 endogenously or recombinantly express the recombinase.

In another embodiment, a kit useful for directed cloning or subcloning of a target DNA molecule in one or more containers is provided, comprising: a) a double-stranded DNA vector useful for directed cloning and subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the  
10 following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm, such that the nucleotide sequence of the first homology arm on a first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second  
15 terminus on the first target DNA strand; and b) a first double-stranded oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first sequence and a second sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence  
20 of a first terminus on a target DNA strand; c) a second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5': a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of  
25 a second terminus on said target DNA strand; and d) a cell containing bacterial recombinase proteins, *e.g.*, RecE/T and/or Red $\alpha$ / $\beta$  proteins. In a specific embodiment, the cell is an *E. coli* cell.

In another embodiment, a kit is provided with one or more containers comprising: a) a double-stranded DNA vector useful for directed cloning and subcloning of  
30 a target DNA molecule of interest, said vector comprising an origin of replication and two

homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide

5 sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand; b) a first double-stranded oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first

10 homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of a first terminus on a target DNA strand; and c) a second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5': a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second

15 homology arm on said vector DNA strand and said fourth sequence being homologous to the nucleotide sequence of a second terminus on said target DNA strand.

In various specific embodiments, the target DNA of the kit is bacterial, viral, parasite, protozoan, or pathogenic DNA. In other specific embodiments, the kit's target DNA can comprise a genetic mutation or polymorphism known or suspected to be

20 associated with a disorder or disease. In another specific embodiment, in oligonucleotide adaptor sequences or vector homology arms have sequence homology to BAC, PAC, lambda, plasmid or YAC based cloning vectors.

#### **6. EXAMPLE: RECEPT AND RED $\alpha/\beta$ CLONING AND SUBCLONING**

25 The Examples presented in this section describe a number of experiments which demonstrate the successful cloning and subcloning using the homologous recombination methods of the invention. Different approaches to subcloning methods are shown. Of particular note, one example shows the successful cloning of an insert larger than any described previously – the directed subcloning of a 25 kb DNA fragment from an

30 approximately 150 kb BAC vector.

## 6.1 METHODS AND MATERIALS

### *Preparation of Linear fragments*

Standard PCR reaction conditions were used to amplify linear DNA fragments. The 1972 bp of p15A origin plus kanamycin-resistance gene (from Tn903) from  
5 pACYC177 was amplified. The origin p15A allows this plasmid or recombinant to co-exist in cells with other plasmids that carry a ColE1 compatibility group origin. The 1934bp of chloramphenicol (from Tn9) resistant gene plus p15A origin was amplified from pACYC184.

The oligonucleotides used in the PCR reaction comprised, at their 3' ends,  
10 and 18-30 nucleotide sequence to serve as a primer on pACYC plasmids, and at the 5' ends, a 50 to 60 nucleotide stretch of sequence homologous to the flanks of the target DNA region. For long oligonucleotides, the PCR reaction annealing temperature used was 62°C. PCR products were purified by using QIAGEN PCR Purification Kit (QIAGEN) and eluted with dH<sub>2</sub>O. The template DNA was eliminated by digesting PCR products with Dpn I.  
15 After digestion, PCR products were precipitated by ethanol and resuspended in dH<sub>2</sub>O at 0.5 µg/ul.

### *Preparation of competent cells*

Electroporation competent cells were prepared by standard methods. Briefly,  
20 overnight cultures were diluted 100 times into LB medium with appropriate antibiotics. *E. coli* cells were grown to an optical density of OD<sub>600</sub>=0.25~0.4 and were chilled on ice for 15 min. Bacterial cells were centrifuged at 7,000 rpm for 10 min at -5°C. The bacterial cell pellet was resuspended in ice-cold 10% glycerol and pelleted by centrifugation at 7,000 rpm at -5°C for 10 min. After 3 times washing in ice-cold 10% glycerol and recentrifugation,  
25 the cell pellet was suspended in a volume of ice-cold 10% glycerol equal to volume of cells. The competent cells were divided into 50 µl aliquots in eppendorf tubes, snap frozen in liquid nitrogen and stored at -70°C.

Experiments with the plasmids pBAD-ETγ or pBAD-αβγ involved transformation of these plasmids into *E. coli* hosts by standard means, followed by growth  
30 overnight to saturation in LB medium plus 0.2% glucose, 50 µg/ml ampicillin, the cultures



were then diluted 100 fold into LB plus 50 µg/ml ampicillin and growth to OD<sub>600</sub> of 0.15. L-Arabinose was then added to 0.1% of final concentration. The cells were grown to OD<sub>600</sub> of 0.25-0.4 before chilling on ice for 15 min.

## 5 Electroporation

A solution of DNA in 1 µl (containing approximately 0.5 µg DNA or more for contrasformation, or approximately 0.3 µg vector DNA or more only for cells harboring the target, or approximately 0.5 µg DNA or more containing the target for cells harboring the vector) was mixed with competent cells. The cells - DNA mixture was transferred into an ice-cold cuvette. Electroporation was performed using a Bio-Rad Gene Pulser set to 25 µFD, 2.3 kV with Pulse Controller set at 200 ohms. LB medium (1 ml) was added after electroporation. The cells were incubated at 37°C for 1-1.5 hour with shaking and then spread on plates containing the antibiotic corresponding to the selectable marker gene in the vector.

15

## 6.2 RESULTS

Table 1 summarizes six experiments in which various target DNA regions of interest were subcloned using different sources of RecE/T or Redα/β expression. The first column, entitled "ET expression" refers to the source of RecE/T or Redα/β, either endogenous RecE/T in *E. coli* hosts JC8679 or JC9604, or from plasmids pBAD-recE/T or pBADαβγ, as indicated. The second column indicates the *E. coli* host used. The third column indicates the target genes.

In the first experiment, the recE/T gene resident in the *E. coli* chromosome was subcloned in the *E. coli* strain JC8679, in which expression of RecE/T is constitutive. This was accomplished using the strategy outlined in Figure 2. Oligonucleotides were designed and synthesized having the following sequence:

5'-TTCCTCTGTATTAACCGGGGAATACAGTGTAATCGATAATTCAGAGGAATAG  
CTCGAGTTAATAAGATGATCTTCTTGAGATCG-3' (SEQ ID NO:1)

30 and

5'-CAGCAATGTCATCGAGCTGAGACTTACTGATACCGGGACCCGCGTGGTAATT  
CTCGAGTGATTAGAAAACTCATCGAGCATC-3' (SEQ ID NO:2)

to amplify the p15A origin of replication and Tn903 kanamycin resistant gene present in  
pACYC177. The results of this experiment are summarized in the first row of Table 1.

5

TABLE I

10	ET expression	E.coli host	Target genes	Total colonies	% correct (of 18)
	Endogenous recE/T	JC8679	<i>recE/T</i> in E.coli chromosome	540	89
	Endogenous recE/T	JC8679	<i>lacZ</i> in E.coli chromosome	760	94
15	Endogenous recE/T	JC9604	<i>lacZ</i> in E.coli chromosome	290	100
	pBAC- recE/T	JC5519	Gentamicin in high copy plasmid	>3,000	100
	pBAD- $\alpha$ B $\gamma$	HB101	<i>lacZ</i> in E.coli chromosome	370	94
20	pBAD- $\alpha$ B $\gamma$	HS996	Intron3 of mAF4 in BAC	160	83

In the second experiment, the *lacZ* gene resident in the *E. coli* chromosome  
was subcloned in the *E. coli* strain JC8679, in which expression of RecE/T is constitutive.  
This was accomplished using the strategy outlined in Figure 2. The vector was made by  
25 PCR using oligonucleotides of the following sequence:

5'-TCAACATTAAATGTGAGCGAGTAACAACCCGTCGGATTCTCCGTGGGAACAA  
ACGGGAATTCTGATTAGAAAACTCATCGAGCATCAAATG-3' (SEQ ID NO:3)

and

30

5'-TCAGGGGAAAACCTTATTTATCAGCCGGAAAACCTACCGGATTGATGGTAGG  
GATCCTTAATAAGATGATCTTCTTGAGATCG-3' (SEQ ID NO:4)

to amplify the p15A origin of replication and Tn903 kanamycin resistance gene present in pACYC177. Results are summarized in the second row of Table 1.

5

In the third experiment, the *lacZ* gene resident in the *E. coli* chromosome was subcloned in the *E. coli* strain JC9604, in which expression of RecE/T is constitutive. This was accomplished using the strategy outlined in Figure 2. The vector was made by PCR using oligonucleotides of the following sequence:

10

5'-TCAACATTAAATGTGAGCGAGTAACAACCCGTCGGATTCTCCGTGGGAACAA  
ACGGGAATTCTGATTAGAAAACTCATCGAGCATCAAATG-3' (SEQ ID NO:5)

and

15

5'-TCAGGGGAAAACCTTATTTATCAGCCGGAAAACCTACCGGATTGATGGTAGG  
GATCCTTAATAAGATGATCTTCTTGAGATCG-3' (SEQ ID NO:6)

to amplify the p15A origin of replication and Tn903 kanamycin resistance gene present pACYC177. Results are summarized in the third row of Table 1.

20

In the fourth experiment, the gentamicin gene resident on the high copy plasmid pFastBAC1 (Gibco) was subcloned in the *E. coli* strain JC5519 using the strategy outlined in Figure 3. Expression of RecE/T was provided by the plasmid pBAD-recE/T after this plasmid had been transformed into JC5519, followed by arabinose induction before preparation of competent cells. The vector was made by PCR using oligonucleotides of the following sequence:

25

5'-TGCACCTTTGATATCGACCCAAGTACCGCCACCTAACAATTTCGTTCAAGCCGA  
GGATCCTTAATAAGATCATCTTCTGAGATCGTTTTGG-3' (SEQ ID NO:7)

and

30

5'-TGCATTACAGTTTACGAACCGAACAGGCTTATGTCAACTGGGTTTCGTGCCTT  
CAGAATTCTGATTAGAAAACTCATCGAGCATCAAATG-3' (SEQ ID NO:8)

to amplify the p15A origin of replication and Tn903 kanamycin resistance gene present in pACYC177, the PCR product was mixed with BamHI digested pFastBAC1 for cotransformation and plating onto gentamicin plus kanamycin containing plates.

In the fifth example, the lacZ gene resident in the *E. coli* chromosome was  
5 subcloned in the *E. coli* strain HB101 using the strategy outlined in Figure 2. Expression of Red $\alpha/\beta$  was provided by the plasmid pBAD $\alpha/\beta$  after this plasmid had been transformed into HB101, followed by arabinose induction before preparation of competent cells. The vector was made by PCR using oligonucleotides of the following sequence:

10 5'-TCAACATTAAATGTGAGCGAGTAACAACCCGTCGGATTCTCCGTGGGAACAA  
ACGGGAATTCTGATTAGAAAACTCATCGAGCATCAAATG-3' (SEQ ID NO:9)

and

5'-TCAGGGGAAAACCTTATTTATCAGCCGGAAAACCTACCGGATTGATGGTAGG  
GATCCTTAATAAGATGATCTTCTTGAGATCG-3' (SEQ ID NO:10)

15 to amplify the p15A origin of replication and Tn903 kanamycin resistance gene present in pACYC177. Results of this experiment are summarized in the fifth row of Table 1.

In the sixth experiment, a 25kb region of an approximately 150 kb BAC  
clone carrying the mouse AF4 gene was subcloned in the *E. coli* strain HS996 using the  
strategy outlined in Figure 3. Expression of Red $\alpha/\beta$  was provided by the plasmid  
20 pBAD $\alpha/\beta$  after this plasmid had been transformed into HS996, followed by arabinose  
induction before preparation of competent cells. The vector was made by PCR using  
oligonucleotides of the following sequence:

25 5'-TGTAGCTGAGCCCAGGGGCAAGGCTGCTTTGTACCAGCCTGCTGTCTGCGGG  
GGCATCACCTGGAATTCTTAATAAGATGATCTTCTTGAGATCGTTTGG-3' (SEQ  
ID NO:11)

and

5'-TGGGTGTCAACCTCAGGCTTCTCACACGCAATACAGGTAGGGACTTGCACC  
CCTACACACCGAATTCTGATTAGAAAACTCATCGAGCATCAAATG-3' (SEQ ID  
NO:12)

30

to amplify the p15A origin of replication and Tn903 kanamycin resistance gene present in pACYC177. The PCR product was mixed with 0.5 µg purified BAC DNA for cotransformation. Results of this experiment are summarized in the sixth row of Table 1.

Also, shown in Figure 6 is an ethidium bromide stained agarose gel depicting DNA digested with EcoRI isolated from 9 independent colonies (lanes 1-9) obtained from the mAF4 BAC experiment, using EcoRI digest of the starting vector as a control (lane 10).

In the seventh experiment, a region of genomic DNA containing an ampicillin resistance gene from the yeast strain MGD 353-13D was cloned using the strategy outlined in Figure 7. As depicted in panel A, a DNA fragment containing the p15A origin of replication, flanked by 98 or 102 bp homology arms targeted to the 98 and 102 bps flanking regions of an integrated ampicillin resistance gene in the yeast strain, MGD353-13D. The *E. coli* strain JC5519 was used, and expression of Red $\alpha/\beta$  was provided by the plasmid pBAD $\alpha\beta\gamma$ -TET, followed by arabinose induction before preparation of competent cells. pBAD $\alpha\beta\gamma$ -TET is a derivative of pBAD $\alpha\beta\gamma$  in which the ampicillin resistance gene has been replaced by the tetracyclin resistance gene. The cloning vector was made by PCR using oligonucleotides of the following sequence:

5'-TCTTTTACTTTTACCAGCGTTTCTGGGTGAGCAAAAACAGGAAGGCAAAATG  
CCGCAAAAAGGGAATAAGGGCGACACGGAAATGTTGAATACTCATAACACCC  
CTTGTATTACTGTTTATGTAAGCAGACAG-3' (SEQ ID NO:13)

and

5'-TCCCGTATCGTAGTTATCTACACGACGGGGAGTCAGGCAACTATGGATGAAC  
GAAATAGACAGATCGCTGAGATAGGTGCCTCACTGATTAAGCATTGGTAATTAA  
TAAGATGATCTTCTTGAGATCGTTTTGG-3' (SEQ ID NO:14)

to amplify the p15A origin of replication present in pACYC177. The PCR product was mixed with 4 µg NcoI digested MGD 353-13D yeast genomic DNA for cotransformation in JC5519 containing Red $\alpha/\beta$  expressed from pBAD $\alpha\beta\gamma$  and plating on ampicillin containing plates after a 90 minute recovery period of culture in L-broth at 37°C. Clones were identified by selection for ampicillin resistance. Eighteen colonies were taken for DNA

analysis. An ethidium bromide stained gel of the ten which were correct are shown in Figure 7B.

The example described herein illustrates the success of the RecE/T and Red $\alpha$ / $\beta$  homologous recombination cloning methods using a wide variety of circular targets  
5 - from a high copy plasmid, to a low copy large target (a BAC) to the *E. coli* chromosome.

## 7. EFFECT OF VECTOR REPEATS AND PHOSPHORYLATION ON CLONING EFFICIENCY

10 The Example presented in this section describes the optimization of conditions for high-efficiency of cloning and subcloning using RecE/T or Red $\alpha$ / $\beta$ -mediated homologous recombination ("ET cloning"). In particular, as shown in Figure 8, elimination of sequence repeats in the vector improved cloning efficiencies. On the other hand, the presence of 5' phosphates at the ends of the linear vector had very little effect on the  
15 efficiency of ET cloning.

First, the effect of repeats on cloning efficiency was examined in the following experiment. As shown in Figure 8, the linear vector used as the cloning vehicle comprised the *p15A* replication origin, the chloramphenicol resistance gene (*Cm<sup>r</sup>*), a nucleotide sequence required for PCR amplification of the linear vector (italicized in Figure  
20 8), flanked by the homology arms to the *E. coli lacZ* gene, and terminal repeated sequences of various lengths (indicated in bold), present on both extremes of the linear vector. The linear vectors were transformed into JC8679 (endogenously ET proficient; Clark, 1974, Genetics, 78, 259-271) or JC5519 (Willets and Clark, 1969, J. Bacteriol. 100:231-239) expressing pBADRed $\alpha$ / $\beta$  (Zhang *et al.*, 1998, Nat. Genet. 20: 123-128). The number of  
25 colonies obtained on LB plates (with 50  $\mu$ g/ml chloramphenicol) after ET subcloning using the indicated oligonucleotides for PCR amplification of the linear vector, is shown in the table in Figure 8. Of these, 18 were analyzed by restriction digestion. The indicated efficiency was determined by dividing the number of correct recombinants by the total number of colonies obtained. Thus, the presence of terminal repeats > 6 nucleotides

30

significantly reduces the ET subcloning efficiency. All the background colonies contained re-ligated linear vector.

The effect of phosphorylation was also examined, and the results are shown in Figure 8. The ends of the linear vector were phosphorylated using T4 DNA kinase and  $\gamma$ -ATP. As shown in Figure 8, last column, no effect on ET subcloning or on vector re-ligation was observed.

This Example demonstrates that the presence of repeated sequences at the ends of the linear vector, or between the homology arm and the essential elements of the vector, *i.e.* the origin of replication and the selectable marker, results in recombination which dramatically reduces ET cloning and subcloning efficiencies. Thus, in a preferred embodiment, the sequence of the homology cloning vector, does not contain any directly repeated sequence of five (5) or more bases outside the sequences that encode the origin of replication and the selectable marker.

## 8. ADDITIONAL EXAMPLES OF RECE/T AND RED $\alpha$ / $\beta$ CLONING AND SUBCLONING

The Examples presented in this section describe additional experiments which demonstrate successful cloning and subcloning approaches using RecE/T- or Red $\alpha$ /Red- mediated homologous recombination.

### *The E. coli host*

As described hereinabove, 'an ET competent host' refers to any E.coli cell capable of expressing RecE/RecT and/or Red $\alpha$ /Red $\beta$ . This may be achieved in a variety of ways, such as either (i) a strain which endogenously expresses RecE/RecT or Red $\alpha$ /Red $\beta$  or (ii) a strain in which RecE/RecT or Red $\alpha$ /Red $\beta$  are expressed from an exogenously introduced plasmid. This example describes the construction of a plasmid-based expression vector based on the JC9604 and JC8679 and their derivatives (mainly YZ2000 and YZ2001). For other variations and examples of ET competent hosts, see Murphy *et al.*, 2000, Gene 246: 321-330; Yu *et al.*, 2000, Proc. Natl. Acad. Sci. 97: 5978-5983; and Datsenko and Wanner, 2000, Proc. Natl. Acad. Sci. 97: 6640-6645.

In the first category, two strains have been used, which carry the *sbcA* mutation and therefore endogenously express RecE/RecT in a RecA<sup>-</sup> (JC9604; Gillen *et al.*, 1981, J. Bacteriology 145: 521-532 or in a RecA<sup>+</sup> (JC8679; Gillen *et al.*, *supra*) background. The advantage of using these strains resides in the fact that they can be used  
5 directly, without the need to first introduce a plasmid to make the strain ET-cloning competent. The disadvantage is that RecE and RecT are constitutively expressed throughout the whole cloning procedure, which enhances the risk of undesired intramolecular recombination, especially in a recA<sup>+</sup> background. A second disadvantage is that these JC strains have not been modified for use as cloning and propagation hosts. They  
10 contain a fully active restriction/modification system which by consequence greatly reduces the efficiency of introduction of large molecules such as BACs into these hosts.

The choice of whether to use a host strain with an endogenous or a plasmid-introduced supply of RecE/T or Red $\alpha/\beta$  depends on the nature of the circular target. No matter which strategy is chosen, the preparation of good competent cells is of crucial  
15 importance. If the host strain lacks endogenous ET-cloning potential, the strain needs to be transformed first with pBAD- $\alpha\beta\gamma$  or pBAD-ET $\gamma$ . The resulting strain then needs to be grown induced with L-arabinose to a final concentration of 0.1% and prepared for electroporation. Empirically, the optimal harvesting point of the cells occurs at an OD<sub>600</sub> of around 0.35, especially when large DNA substrates are targeted. If the cells have reached  
20 an OD<sub>600</sub> of greater than 0.5, they should not be used. The optimal induction time is around 1 hour. Electroporation needs to be used, since no other method of DNA introduction has been found to work. Making good electrocompetent cells is essential to obtaining ET-recombinants. During the preparation of electrocompetent cells, all steps should be performed on ice and in precooled buckets and rotors. Electrocompetent cells are  
25 concentrated to a high extent: from a 250 ml culture which is harvested at OD<sub>600</sub> = 0.35, we routinely prepare no more than 10 aliquots of 50  $\mu$ l of competent cells. The resulting transformation efficiency depends greatly on the host strain used, but typically varies around 10<sup>9</sup> cfu/ $\mu$ g. A detailed protocol of how to prepare electrocompetent cells and how to perform the electroporation can be obtained from [http://www.embl-](http://www.embl-heidelberg.de/ExternalInfo/stewart/index.html)  
30 [heidelberg.de/ExternalInfo/stewart/index.html](http://www.embl-heidelberg.de/ExternalInfo/stewart/index.html).



The plasmid pR6K/BAD- $\alpha\beta\gamma$ (tet), shown in Figure 9A, was constructed to confer upon the BAC host strain HS996 (Invitrogen) the ability to carry out ET recombination. This plasmid is based on the pBAD24 backbone (Guzman et al., 1995, J Bacteriol 177: 4121-4130). Red $\alpha$  (or RecE) is expressed from the L-arabinose-inducible pBAD promoter, and Red $\beta$  (or RecT) is expressed from the constitutive EM-7 promoter. Overexpression of RecT relative to RecE, or Red $\beta$  relative to Red $\alpha$ , enhances ET-cloning efficiency (in terms of amount of colonies on selection plates). Finally, this plasmid constitutively expresses the Red $\gamma$  protein, in this case from the constitutive Tn5 promoter, which is necessary to inhibit the activity of the RecBCD enzyme present in most commonly used host strains (Murphy, 1991, J. Bacteriology 173: 5808-5821). If not inactivated, RecBCD completely inhibits ET-cloning, probably because its exonuclease activity degrades the linear DNA before it gets a chance to recombine. Thus, pBAD- $\alpha\beta\gamma$ (tet) constitutes a mobile system which can confer regulatable ET-cloning proficiency upon transformation of the recipient host strain. Given the inducibility of the expression of RecE or Red $\alpha$ , and the absolute requirement for both components of the recE/T and red $\alpha/\beta$  systems to be co-expressed in order for recombination to occur, the recombinogenic window is limited to the arabinose induction time and the half-life of the least stable component. Taken together with the facts that recA hosts will most commonly be used, and that the hosts will also either be recBC<sup>-</sup>, or a phenocopy of recBC<sup>-</sup> (due to the expression of Red $\gamma$ ), this means that the risk of unwanted intramolecular recombination is greatly reduced. A further useful characteristic of pBAD- $\alpha\beta\gamma$ (tet) is that these plasmids tend to be lost rapidly when they are not selected for during culturing. This is probably due to the constitutive expression of Red $\gamma$ , and may also vary according to host cell factors, for example the presence of RecBCD.

Replication of pR6K/BAD- $\alpha\beta\gamma$  requires the R6K origin and the Pir-116 protein (Metcalf et al., 1994, Gene 138, 1-7). The pR6K/BAD/ $\alpha\beta\gamma$ , carries the R6K origin, which was obtained from pJP5603 (Penfold and Pemberton, 1992, Gene 118:145-6), the *pir-116* replicon gene, which controls R6K ori plasmid replication in bacteria, and the tetracycline resistance gene *tet* from pBR322. *Pir-116* is a copy-up mutant which allows an R6K origin-containing plasmid to exist in an *E. coli* strain at greater than 200 copies per

cell. The *pir-116* gene was PCR amplified from the *E. coli* strain BW3647 and cloned behind the *lacZ* promoter.

To generate pR6K/BAD/ $\alpha\beta\gamma$ , the R6K origin, *pir-116* and *ter* were introduced into pBAD- $\alpha\beta\gamma$  (Muyrers *et al.*, 1999, Nucleic Acids Research, 27:1555-1557) by ET recombination, thereby replacing the *ColE1* origin and the ampicillin resistance gene originally present on pBAD- $\alpha\beta\gamma$ . Similarly, pR6K/BAD/ET $\gamma$  and pR6K/BAD/*recT* were generated. The copy number of any R6K-based plasmid was found to be approximately two times higher in comparison with the respective *ColE1*-based parental plasmid. In a side-by-side comparison of pR6K/BAD/ $\alpha\beta\gamma$  and pBAD- $\alpha\beta\gamma$  in a standard BAC subcloning exercise, the R6K-based plasmid was found to work more efficiently (see Figure 9B). The R6K replication system present on these pR6K plasmids does not contain any significant sequence homology to other replication origins, including *p15a* and *ColE1*. Moreover, the R6K based plasmids are compatible with any other replication origin. Thus, replication origins such as *ColE1* and *p15A* can be included in the linear vector used for ET subcloning.

15

#### ET Subcloning

Subcloning of a 19kb fragment including exons 2 and 3 of the AF-4 gene present on a BAC is shown in Figure 10. First, pR6K/BAD- $\alpha\beta\gamma$  was transformed into the BAC carrying strain. Subsequently, the transformed strain was grown on LB medium containing 15  $\mu\text{g/ml}$  tetracyclin and 12.5  $\mu\text{g/ml}$  chloramphenicol. The growing cells were induced with L-arabinose for 1 hour, after which electrocompetent cells were prepared. These cells were transformed by electroporation with the linear vector, which contained the *p15A* origin of replication and the ampicillin resistance gene,  $\beta$ -lactamase (*bla*), flanked by two homology arms of 50 nucleotides which direct homologous recombination to the target DNA on the AF-4 BAC. Recombinants were obtained after growth on LB plates containing 50  $\mu\text{g/ml}$  ampicillin.

As shown in Figure 10B, 5 independent colonies were selected for analysis. DNA was prepared from 5 independent colonies, digested with HindIII, and analyzed on an ethidium bromide stained gel. HindIII-digested correct colonies and the linear vector alone

30

were used as markers, as well as a 1 kb DNA ladder (Gibco BRL). Correct subclones were confirmed by DNA sequencing.

#### ET Cloning

5           Genomic DNA can also be the direct source of target DNA, as shown in the experiment in Figure 11. In this experiment, the linear vector consisted of the *ColE1* origin and the kanamycin resistance gene (*kan*), flanked by homology arms which direct recombination to the *lacI/lacZ* locus present on the *E. coli* chromosome (see Figure 11A). Genomic DNA was isolated from *E. coli* prelinearized by *XhoI* digestion. The linear vector  
10 and the prelinearized genomic DNA were mixed and co-electroporated into YZ2000, which endogenously expresses RecE/RecT. By selecting on LB plates containing 50 µg/ml kanamycin, the desired subclone consisting of the *lacI* and *lacZ* genes, the *ColE1* origin and *kan* was obtained. As shown in Figure 11B, restriction analysis of 16 independent colonies contained the correct product (lanes 1-16). Lane 17 shows the linear vector; lane M shows a  
15 1 kb DNA ladder as a marker (Gibco BRL).

Another example of successful ET recombination cloning is shown in Figure 12. In this experiment, a fragment was cloned directly from mouse ES cell genomic DNA using a homology arm cloning vector. As shown in Figure 12A, which outlines the cloning strategy, a neomycin resistance gene (*neo*) from mouse ES cell genomic DNA was  
20 employed as the target DNA. The linear vector consisted of the *ColE1* replication origin plus the chloramphenicol resistance gene *Cm<sup>r</sup>* flanked by two arms which were homologous to the *Tn5-neo* gene. The required mouse ES cell line was generated by transfecting a fragment containing *Tn5-neo* under control of the PGK promoter plus a *polyA* tail. Genomic DNA was prepared from G418 resistance colonies, and sheared with a needle and  
25 by phenol/chloroform extraction, creating linear fragments of about 20-40 kb.

ET cloning was performed by co-electroporating the linear vector and the sheared genomic DNA into YZ2000, a JC8679 derivative (Clark, *supra*) in which the restriction system, which degrades foreign methylated DNA, is partially impaired by deletion of the *mcrA*, *mcrBC*, *hsdRMS* and *mrr* genes. Because overexpression of RecT  
30 greatly enhances the overall ET recombination efficiency, YZ2000 was transformed with

the pR6K/BAD/recT plasmid. YZ2000 cells carrying pR6K/BAD/recT, which were induced with L-arabinose for 1 hour prior to harvesting, were co-transformed by electroporation with 0.5  $\mu$ g linear vector and 5.0  $\mu$ g sheared mouse ES cell genomic DNA. An average of 25-35 colonies were obtained on LB plates containing 50  $\mu$ g/ml chloramphenicol. By re-streaking these colonies on plates containing 50  $\mu$ g/ml kanamycin, 6 out of 30 colonies tested were found to grow by assaying *Tn5-neo* expression. In Figure 12, panel B, restriction analysis of kanamycin resistant colonies demonstrated that all 6 colonies tested were found to be correct (lanes 2-7). The restriction pattern of a false positive, which grew in the presence of chloramphenicol but failed to grow in the presence of kanamycin, is shown in lane 1. All of these false positives contained the religated vector.

An experiment showing a combination of ET subcloning and cloning is shown in Figure 13. The linear vector consisted of the *ColE1* replication origin plus the kanamycin resistance gene *Km<sup>r</sup>*. Each terminus of the linear vector consisted of a BstZ17 I site and 2 homology arms. The homology arms present at the extremes of the linear vector (indicated by the smaller boxes in Figure 13) are homologous to the  $\lambda$  phage target DNA. The second set of homology arms (indicated by the larger boxes) is homologous to the *lacI-lacZ* genes present on the *E.coli* chromosome.

In the first subcloning step, the linear vector was co-electroporated with linearized  $\lambda$  phage target DNA into the ET proficient *E. coli* strain JC8679 $\Delta$ lacZ. This resulted in the subcloning of a 6.7kb  $\lambda$ DNA fragment including the *exo*, *bet*, *gam*, *rexA* and *cI857* genes, into the linear vector, thereby generating pYZN/ $\lambda$ - PR. For the next ET recombination step, a new linear vector was used, which contained the chloramphenicol resistance gene *cat* flanked by mutated *loxP* sites (*loxP\**, Araki *et al.*, 1997, Nucleic Acids Research, 25:868-872), as well as terminal arms which were homologous to the  $\lambda$  DNA present on pYZN/ $\lambda$ - PR. This linear vector was co-electroporated with pYZN/ $\lambda$ - PR into the ET proficient strain JC8679 $\Delta$ lacZ, resulting in the formation of pYZN/ $\lambda$ - PR/Cm. From this plasmid, the *cat*-containing  $\lambda$  DNA fragment flanked by the two terminal arms which were homologous to *lacI-lacZ* was released by BstZ17 I digestion. This fragment was used to target the chromosome of the *E. coli* strain JC5519 (Willettts and Clark, 1969, J Bacteriol, 100: 231-239) which expressed RecE and RecT from pBADRecE/T (Zhang *et al.*, 1998,

Nature Genetics 20:123-128). After ET recombination and selection for growth in the presence of 20 µg/ml chloramphenicol, YZ2001/Cm strain was generated. Deletion of *cat* to generate YZ2001 was done by using the 706-Cre plasmid, which is identical to 705-Cre except that it carries the tetracyclin resistance gene (*ter*) instead of the chloramphenicol resistance gene, as described (Buchholz *et al.*, 1996, Nucleic Acids Research, 24:3118-3119). YZ2001 thus carried the 6.7 kb λ DNA fragment (*exo*----*cI857*) plus a mutated *loxP* site on the chromosome. Since YZ2001/Cm allows heat-inducible expression of the λ genes *exo*, *bet* and *gam*, it is conditionally ET proficient. A similar strategy can be used to generate knock-out constructs or to perform BAC modifications, for example.

Thus, the examples presented above demonstrate several approaches for successful cloning and subcloning using RecE/T and Redα/β-mediated homologous recombination.

The invention described and claimed herein is not to be limited in scope by the specific embodiments herein disclosed since these embodiments are intended as illustration of several aspects of the invention. Any equivalent embodiments are intended to be within the scope of this invention. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description. Such modifications are also intended to fall within the scope of the appended claims. Throughout this application various references are cited, the contents of each of which is hereby incorporated by reference into the present application in its entirety for all purposes.

25

30

**WHAT IS CLAIMED IS:**

1. A method for introducing a double-stranded target DNA into a vector comprising culturing a bacterial cell that expresses a functional recombinase, said bacterial cell  
5 containing (a) the target DNA comprising a first double-stranded terminus and a second double-stranded terminus, and (b) a vector DNA comprising, in the following order along the vector DNA strand: (i) a first double-stranded homology arm (ii) an origin of replication; and (iii) a second double-stranded homology arm, such that the sequence of a  
10 vector DNA strand of the first homology arm is homologous to the sequence of a target DNA strand of the first terminus, and the sequence of a vector DNA strand of the second homology arm is homologous to the sequence of the target DNA strand of the second terminus, such that the target DNA is inserted into the vector DNA between the homology arms.
- 15 2. A method for making a recombinant DNA molecule comprising:
  - a) introducing a double-stranded vector into a cell, said cell containing a double-stranded target DNA and expressing a bacterial recombinase, said vector comprising an origin of replication and two homology arms, in the  
20 following order from 5' to 3' along a vector DNA strand: a first homology arm, one strand of the origin of replication, and a second homology arm; , said target DNA comprising a target DNA sequence and two termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, the target DNA sequence, and a second terminus, such that the sequence of the first homology arm on said vector DNA strand  
25 is homologous to the sequence of the first terminus on said target DNA strand, and the sequence of the second homology arm on said vector DNA strand is homologous to the sequence of the second terminus on said target DNA strand; and
  - b) subjecting the cell to conditions that allow intracellular homologous  
30 recombination to occur.

3. A method for making a recombinant DNA molecule comprising:
- a) introducing a double-stranded vector and first and second double-stranded oligonucleotides into a cell, said cell containing a double-stranded target DNA and expressing a bacterial recombinase,
- 5 said vector comprising an origin of replication and two double-stranded homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication, and a second homology arm;
- 10 said target DNA comprising a target DNA sequence and two double-stranded termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus;
- 15 said first oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of the first terminus on said target DNA strand;
- 20 said second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5', a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of the second terminus on said target DNA strand;
- 25 and
- b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.
4. A method for making a recombinant DNA molecule comprising:
- a) introducing a double-stranded target DNA molecule into a cell, said cell
- 30 containing a vector and expressing a bacterial recombinase,

said target DNA comprising a target DNA sequence and two double-stranded termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus;

said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm;

such that the sequence of the first homology arm on said vector DNA strand is homologous to the sequence of the first terminus on said target DNA strand, and the sequence of the second homology arm on said vector DNA strand is homologous to the sequence of the second terminus on said target DNA strand; and

- b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

5. A method for making a recombinant DNA molecule comprising:

- a) introducing a double-stranded target DNA molecule and a first and second double-stranded oligonucleotide into a cell, said cell containing a vector and expressing a bacterial recombinase, said target DNA comprising a target DNA sequence and two termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus;

said first oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of the first terminus on said target DNA strand; said second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5', a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being



homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of the second terminus on said target DNA strand; and

5 said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; and

- b) 10 subjecting the cell to conditions that allow intracellular homologous recombination to occur.

6. A method for making a recombinant DNA molecule comprising:

- a) 15 introducing a double-stranded vector and a double-stranded target DNA into a cell expressing a bacterial recombinase, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm, said target DNA comprising a target DNA sequence and two termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence; and a second terminus;

20 such that the nucleotide sequence of the first homology arm on said vector DNA strand is homologous to the nucleotide sequence of the first terminus on said target DNA strand, and the nucleotide sequence of the second homology arm on said vector DNA strand is homologous to the sequence of the second terminus on said target DNA strand; and

- b) 25 subjecting the cell to conditions that allow intracellular homologous recombination to occur.

7. A method for making a recombinant DNA molecule comprising:

- a) introducing a double-stranded vector, a double-stranded target DNA molecule, and a first and second double-stranded oligonucleotide into a cell expressing a bacterial recombinase,
- 5 said vector comprising an origin of replication and two double-stranded homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm;
- 10 said target DNA comprising target DNA sequence and two double-stranded termini, in the following order, from 3' to 5' along a target DNA strand: a first terminus, a target DNA sequence, and a second terminus;
- 15 said first oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the sequence of the first terminus on said target DNA strand;
- 20 said second oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5', a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of the second terminus on said target DNA strand; and
- 25 b) subjecting the cell to conditions that allow intracellular homologous recombination to occur.

8. The method of Claim 6 wherein the host cell further contains a nucleotide sequence encoding a site-specific recombinase operatively linked to a promoter, and the vector further
- 30 comprises a first and second recognition site for the site-specific recombinase; a first

recognition site located outside the first and second homology arms, and a second site-specific recombinase recognition site located inside the first and second homology arms; and during or after step b), inducing expression of the site-specific recombinase.

5 9. The method of Claim 7 wherein the host cell further contains a nucleotide sequence encoding a site-specific recombinase operatively linked to a promoter, and the vector further comprises a first and second recognition site for the site-specific recombinase; a first recognition site located outside the first and second homology arms, and a second site-specific recombinase recognition site located inside the first and second homology arms;  
10 and during or after step b), inducing expression of the site-specific recombinase.

10. The method of Claim 6 wherein the host cell further contains a nucleotide sequence encoding a site-specific endonuclease operatively linked to a promoter, and the vector further comprises a recognition site for the site-specific endonuclease located inside the  
15 first and second homology arms; and during or after step b), inducing expression of the site-specific endonuclease.

11. The method of Claim 7 wherein the host cell further contains a nucleotide sequence encoding a site-specific endonuclease operatively linked to a promoter, and the vector  
20 further comprises a recognition site for the site-specific endonuclease located inside the first and second homology arms; and during or after step b), inducing expression of the site-specific endonuclease.

12. The method of any one of Claims 2-11 wherein the vector further comprises a  
25 selectable marker located outside the first and second homology arms, such that the vector comprises, in either of the following two orders from 5' to 3' along a vector DNA strand: i) the first homology arm, the selectable marker, the origin of replication and the second homology arm, or ii) the first homology arm, the origin of replication, the selectable marker, and the second homology arm.

13. The method of Claim 12 wherein the selectable marker confers antibiotic resistance to the cell containing the vector.

14. The method of any one of Claims 2-11 wherein the bacterial recombinase is RecE/T  
5 or Red $\alpha$ / $\beta$  recombinase or both RecE/T and Red $\alpha$ / $\beta$  recombinases.

15. The method of any one of Claims 2-11 wherein the cell is a bacterial cell.

16. The method of any one of Claims 2-11 wherein the cell is an *E. coli* cell.

10

17. The method of any one of Claims 2-11 wherein the cell is a eukaryotic cell that recombinantly expresses RecE/T and/or Red $\alpha$ / $\beta$  recombinase.

18. The method of any one of Claims 2-11 which further comprises isolating a  
15 recombinant DNA molecule that comprises the target DNA inserted into the vector.

19. A double-stranded DNA vector useful for directed cloning or subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first  
20 homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand.

25

20. The vector of Claim 19 wherein the origin of replication is a bacterial origin of replication.

21. The vector of Claim 19 wherein the origin of replication functions in *E. coli*.

30

22. The vector of Claim 19 wherein the origin of replication functions in a mammalian cell.

23. A cell comprising a double-stranded DNA vector useful for directed cloning or  
5 subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the  
10 nucleotide sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand.

24. The cell of Claim 23 which is a bacterial cell.

15

25. A kit useful for directed cloning or subcloning of a target DNA molecule comprising in one or more containers:

- 20 a) a double-stranded DNA vector useful for directed cloning or subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; such that the nucleotide sequence of the first homology arm on a first vector DNA strand is homologous to the sequence of the first terminus on a first target DNA strand, and the nucleotide  
25 sequence of the second homology arm on the first vector DNA strand is homologous to the nucleotide sequence of the second terminus on the first target DNA strand; and
- b) a cell containing a bacterial recombinase.

30

26. The kit of Claim 25 wherein the homology arms have sequence homology to a BAC, PAC, lambda, plasmid or YAC based cloning vector.
27. The kit of Claim 25 wherein the first and second double-stranded oligonucleotide  
5 have nucleotide sequence homology to a BAC, PAC, lambda, plasmid or YAC based cloning vector.
28. A kit useful for directed cloning or subcloning of a target DNA molecule comprising in one or more containers:
- 10 a) A double-stranded DNA vector useful for directed cloning and subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm; and
- 15 b) a first double-stranded oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first sequence and a second sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous  
20 to the nucleotide sequence of a first terminus on a target DNA strand;
- c) a second double-stranded oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5': a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the  
25 second homology arm on said vector DNA strand and said fourth nucleotide sequence being homologous to the nucleotide sequence of a second terminus on said target DNA strand; and
- d) a cell containing a bacterial recombinase.
- 30 29. The kit of Claim 25 or 28 wherein the cell is an *E. coli* cell.

30. The kit of Claim 25 or 28 wherein the cell is a frozen cell competent for uptake of DNA.

31. A kit useful for directed cloning or subcloning of a target DNA molecule comprising  
5 in one or more containers:

- 10 a) a double-stranded DNA vector useful for directed cloning and subcloning of a target DNA molecule of interest, said vector comprising an origin of replication and two homology arms, in the following order from 5' to 3' along a vector DNA strand: a first homology arm, the origin of replication and a second homology arm;
- 15 b) a first double-stranded oligonucleotide comprising a first oligonucleotide DNA strand comprising, in the following order, from 3' to 5': a first nucleotide sequence and a second nucleotide sequence, said first nucleotide sequence being homologous to the nucleotide sequence of the first homology arm on said vector DNA strand, and said second nucleotide sequence being homologous to the nucleotide sequence of a first terminus on a target DNA strand; and
- 20 c) a second double-stranded oligonucleotide comprising a second oligonucleotide strand comprising, in the following order, from 3' to 5': a third nucleotide sequence and a fourth nucleotide sequence, said third nucleotide sequence being homologous to the nucleotide sequence of the second homology arm on said vector DNA strand and said fourth sequence being homologous to the nucleotide sequence of a second terminus on said target DNA strand.

25

32. The kit of Claim 25, 28, or 31 wherein the DNA vector is purified.

33. The kit of Claim 28 or 31 wherein the DNA vector, the first double-stranded oligonucleotide, and the second double-stranded oligonucleotide are purified.

30

34. The kit of Claims 25, 28, or 31 wherein the target DNA molecule comprises bacterial, viral, parasite, or protozoan DNA.
35. The kit of Claims 25, 28, or 31 wherein the target DNA molecule comprises a  
5 genetic mutation or polymorphism known or suspected to be associated with a disorder or disease.
36. The kit of any one of Claims 25-29 wherein the bacterial recombinase is RecE/T or Red $\alpha$ / $\beta$  recombinase or both RecE/T and Red $\alpha$ / $\beta$  recombinases.
- 10 37. The method of any one of Claims 2-11 wherein the target DNA is known or suspected to be associated with a disorder or disease when genetically mutated.
38. The method of any one of Claims 2-11 wherein the target DNA is a bacterial, viral,  
15 parasite, or protozoan DNA.
39. The method of Claims 2, 4, 6, 8, or 10 which further comprises detecting a recombinant DNA molecule that comprises the target DNA inserted into the vector.
- 20 40. The method of Claims 3, 5, 7, 9, or 11 which further comprises detecting a recombinant DNA molecule that comprises the target DNA inserted into the vector.
41. A method of detecting the presence of an infectious agent comprising carrying out the method of Claim 39, wherein the target DNA is derived from a patient suspected of  
25 having the infectious disease, and the sequences of the first and second homology arms are homologous to the sequences present in DNA of the infectious agent.
42. A method of detecting the presence of an infectious agent comprising carrying out the method of Claim 40, wherein the target DNA is derived from a patient suspected of  
30



having the infectious disease, and said second and fourth nucleotide sequences are homologous to sequences present in DNA of the infectious agent.

43. The method of Claim 41 or 42 wherein the infectious agent is a virus, bacteria,  
5 protozoa, fungus, or parasite.

44. A method of detecting the presence of a genetic condition, disease, disorder, or polymorphic trait comprising carrying out the method of Claim 39, wherein the target DNA is derived from a patient suspected of having a genetic condition, disease, disorder, or  
10 polymorphic trait, and the sequence of the first homology arm is homologous to the sequence upstream from a site known or suspected to be associated with the genetic condition, disease, disorder, or polymorphic trait, and the sequence of the second homology arm is homologous to the sequence downstream from a site known or suspected to be associated with the genetic condition, disease, disorder, or polymorphic trait.

15

45. A method of detecting the presence of a genetic condition, genetic disease, genetic disorder, or polymorphic trait comprising carrying out the method of Claim 40, wherein the target DNA is derived from a patient suspected of having the genetic condition, genetic disease, genetic disorder, or polymorphic trait, and the sequence of the first double-stranded  
20 oligonucleotide is homologous to the sequence upstream from a site known or suspected to be associated with the genetic condition, genetic disease, genetic disorder, or polymorphic trait, and the sequence of the second double-stranded oligonucleotide is homologous to the sequence downstream from a site known or suspected to be associated with the genetic condition, genetic disease, genetic disorder, or polymorphic trait.

25

46. The method of Claims 44 or 45 wherein the genetic condition, genetic disease, genetic disorder, or polymorphic trait is or predisposes the patient to cancer, asthma, arthritis, drug resistance, drug toxicity, or a neural, neuropsychiatric, metabolic, muscular, cardiovascular, or skin condition, disease or disorder.

30

47. The vector of Claim 19 wherein the vector further comprises a selectable marker located outside the first and second homology arms, such that the vector comprises, in either of the following two orders from 5' to 3' along a vector DNA strand: i) the first homology arm, the selectable marker, the origin of replication and the second homology arm, or ii) the  
5 first homology arm, the origin of replication, the selectable marker, and the second homology arm.

48. The vector of Claim 47, wherein the sequence of the vector does not contain one or more direct repeats of a sequence of at least five or more nucleotide base pairs either 5' or 3'  
10 to both the origin of replication and the selectable marker.

15

20

25

30

1/18

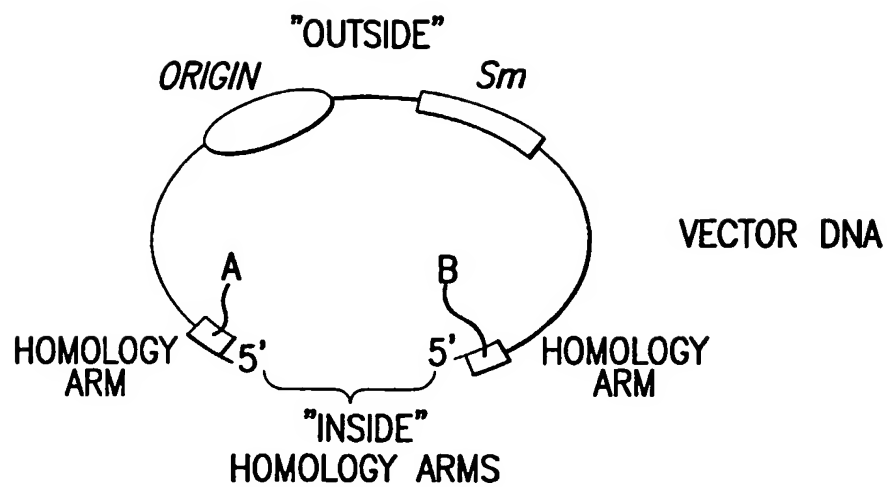


FIG.1A

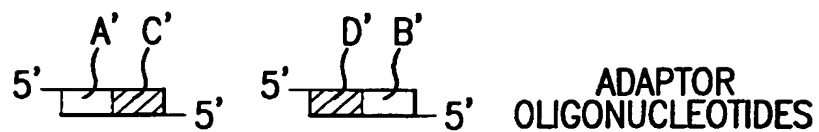


FIG.1B

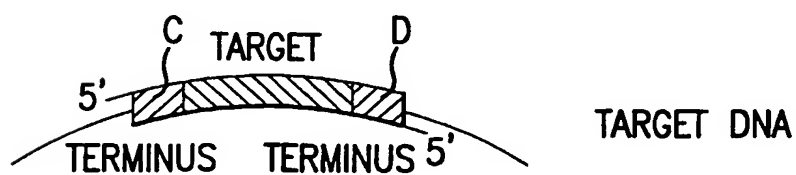


FIG.1C

2/18

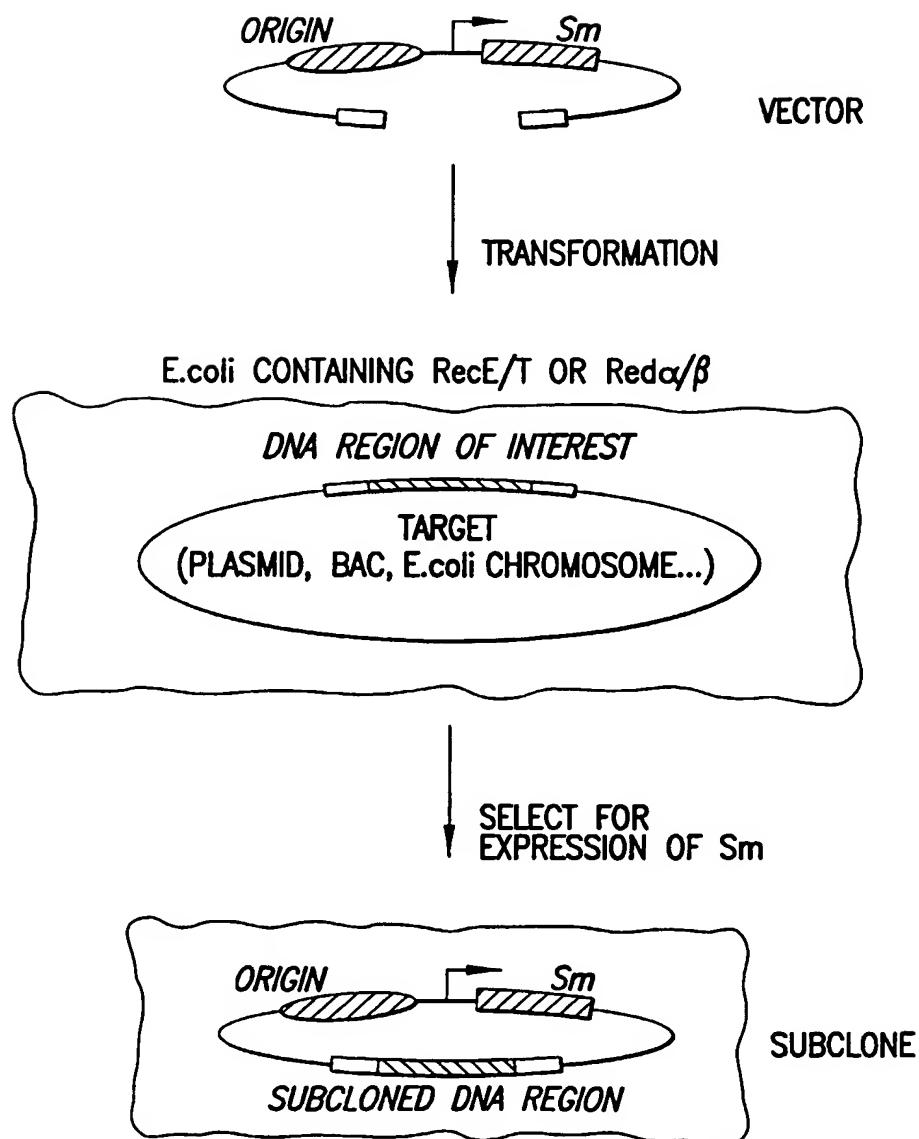


FIG.2

3/18

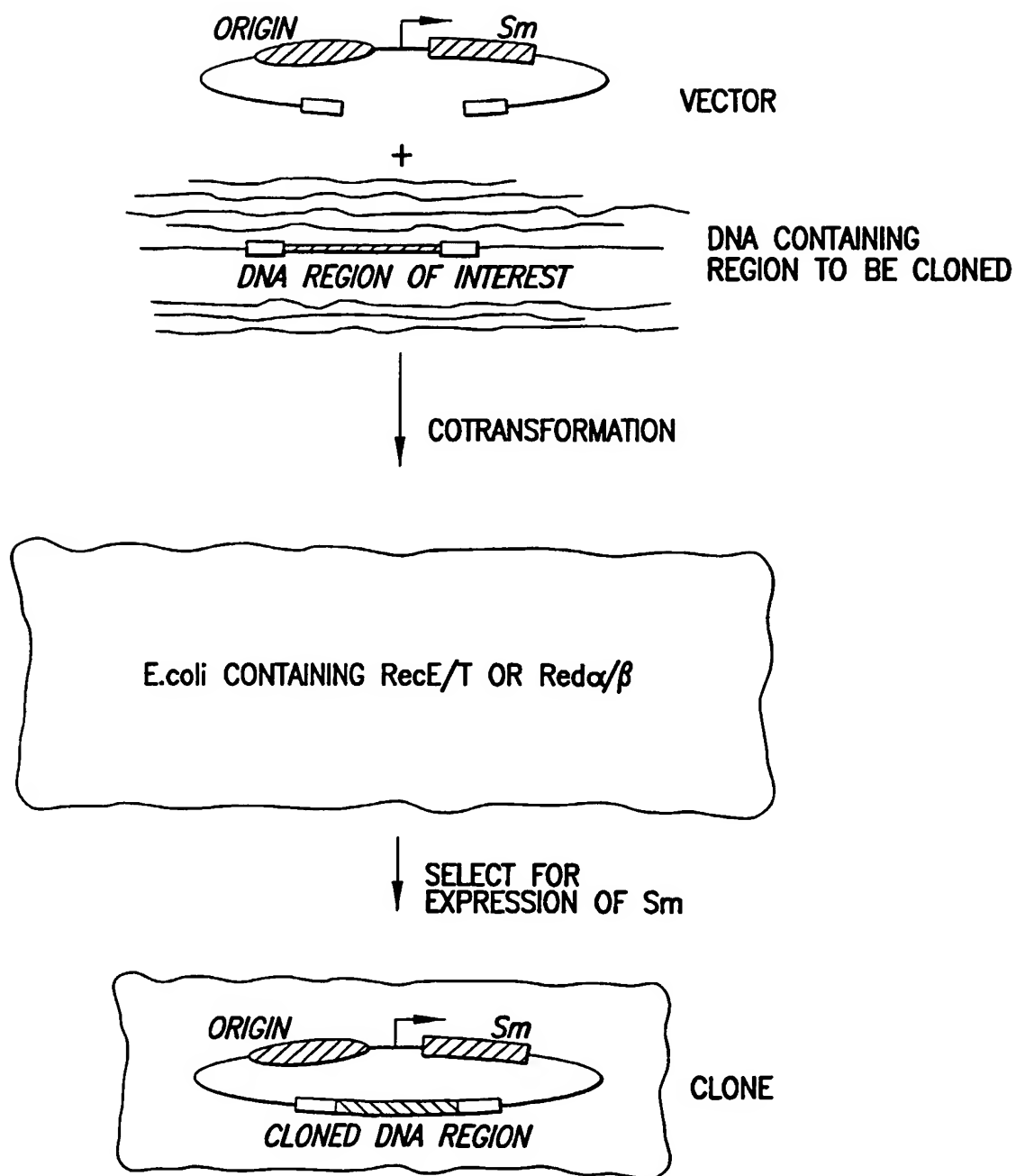


FIG.3

4/18

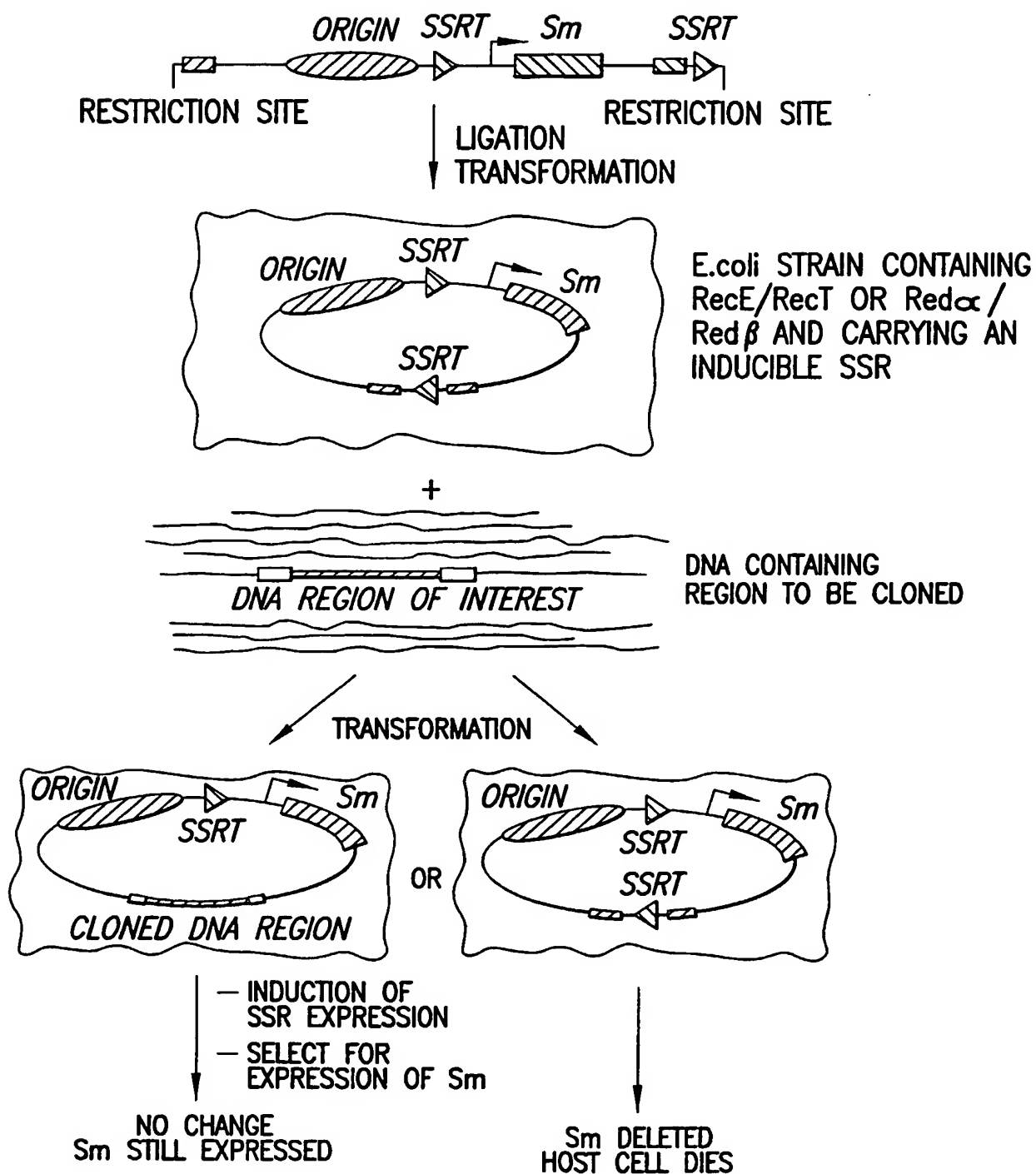


FIG.4

5/18

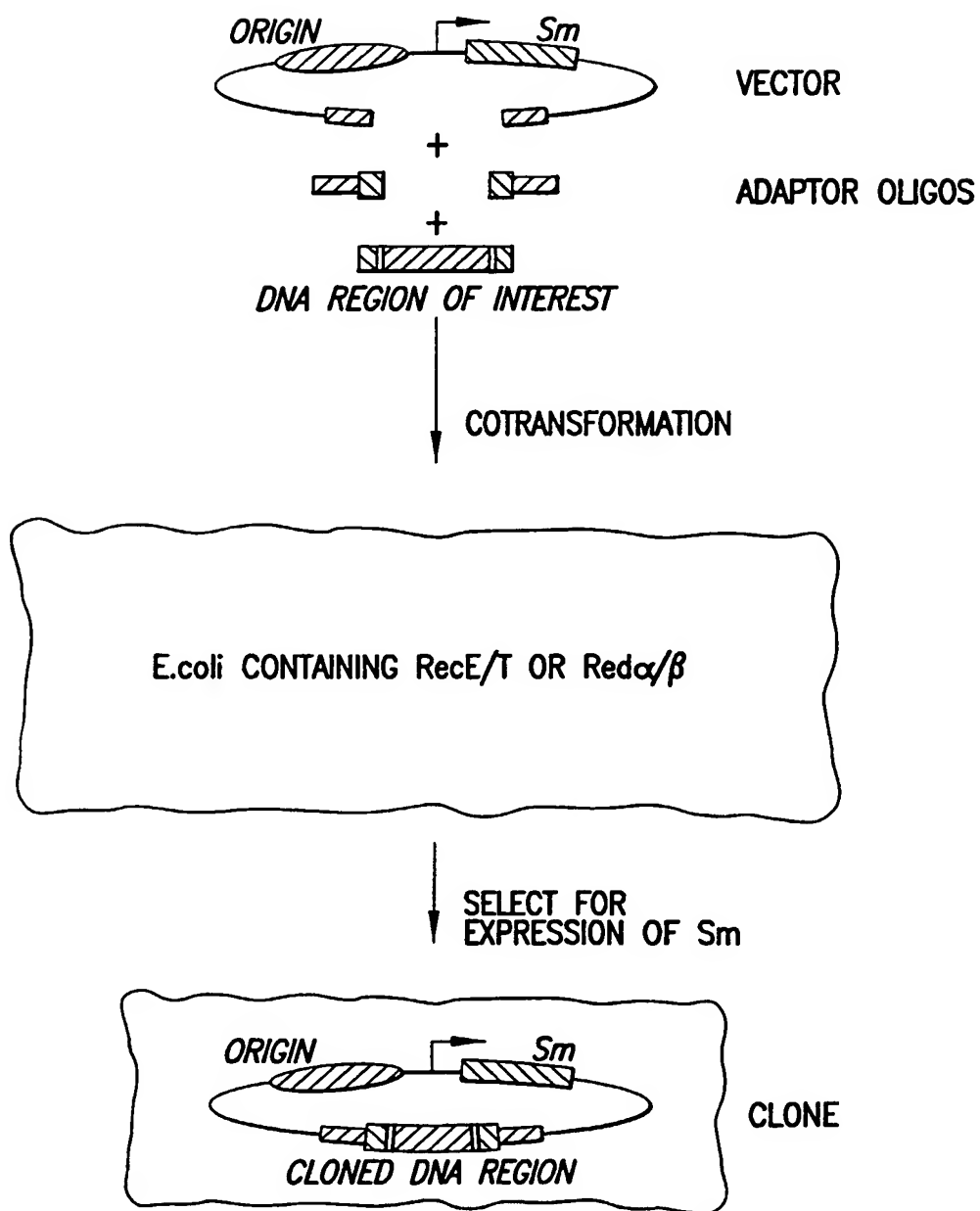


FIG.5

6/18

M 1 2 3 4 5 6 7 8 9 10

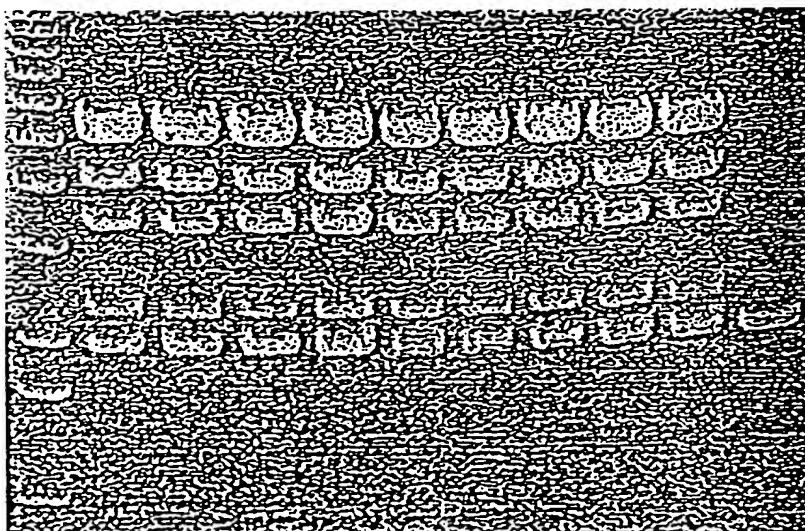


FIG.6



7/18

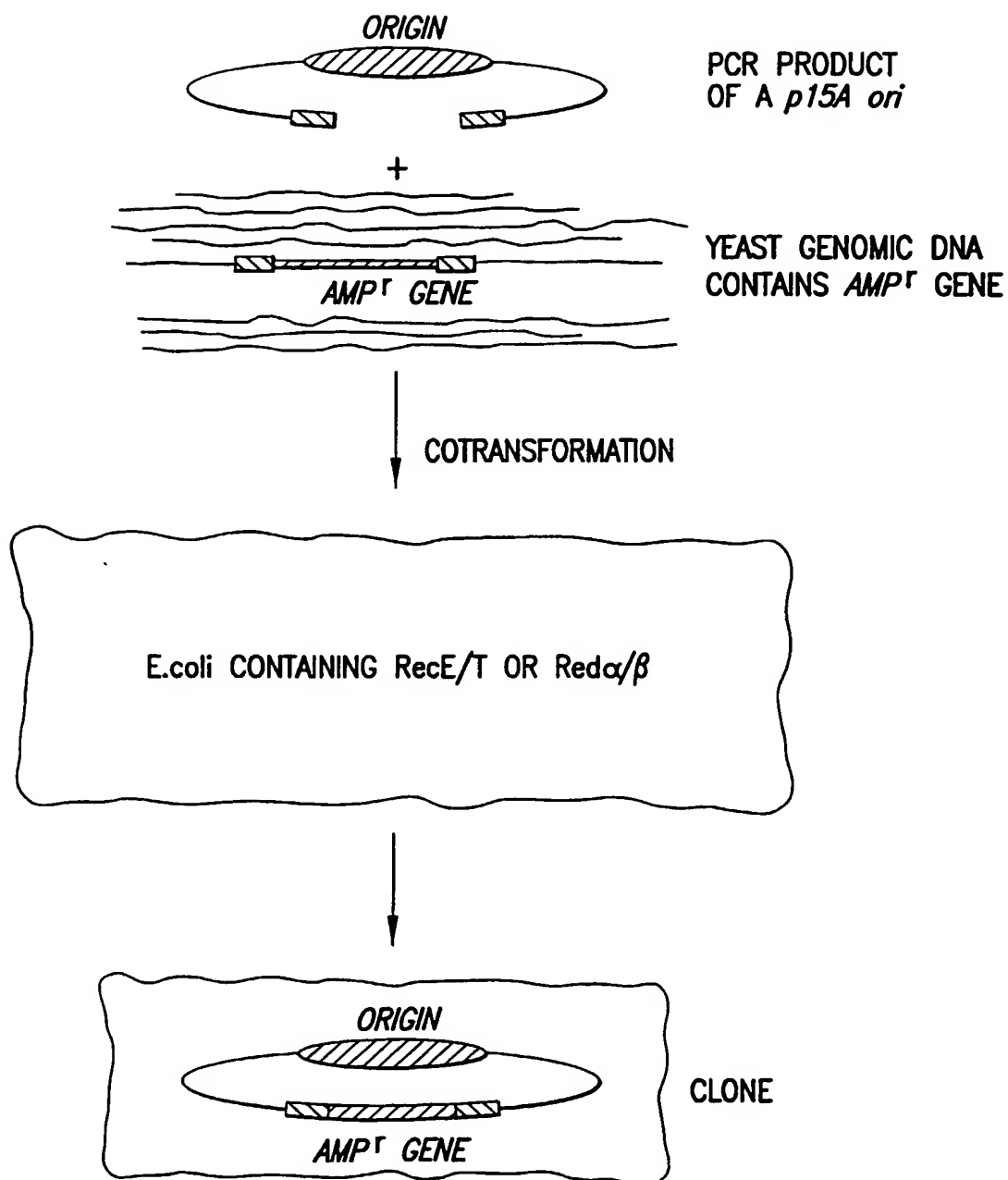


FIG.7A

8/18

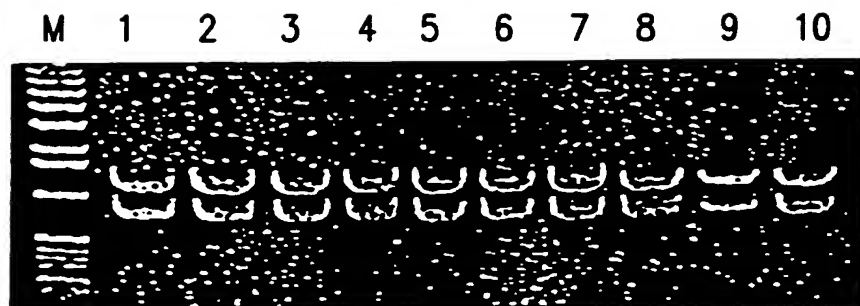


FIG.7B

EFFECT OF REPEATS AND PHOSPHORYLATION AT THE END OF THE LINEAR VECTOR

LEFT: a, CGAAAGGGGATGCTGCAAGGCGATTAAATTGGTTAACGCCAGGGTTACAACTTATATCGTATGCGG  
b, TGGCGAAAGGGGATGCTGCAAGGCGATTAAATTGGTTAACGCCAGGGTTACAACTTATATCGTATGCGG  
c, GCTGGCGAAAGGGGATGCTGCAAGGCGATTAAATTGGTTAACGCCAGGGTTACAACTTATATCGTATGCGG  
d, CAGCTGGCGAAAGGGGATGCTGCAAGGCGATTAAATTGGTTAACGCCAGGGTTACAACTTATATCGTATGCGG  
e, CGCCAGCTGGCGAAAGGGGATGCTGCAAGGCGATTAAATTGGTTAACGCCAGGGTTACAACTTATATCGTATGCGG  
RIGHT: x, GCCAGCTGGCGCAGGTAGCAGAGCGGTAACCTGGCTCGGATTAGGGCCGCAAGCTTACGCCCGCCCTGCCAC

FIG.8A

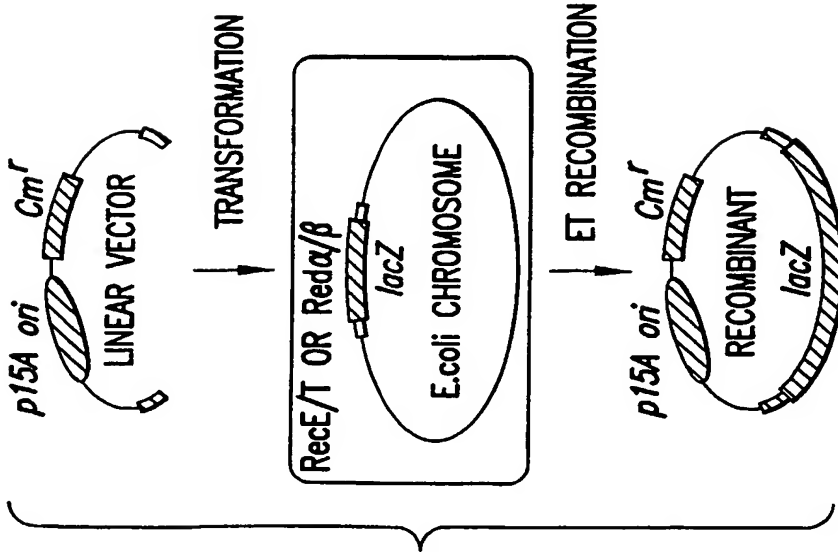


FIG.8B

COMBINATION OF PRIMERS	x-a	x-b	x-c	x-d	x-e	phosphorylated x-a
RECOMBINANTS	548	562	827	2142	5780	542
EFFICIENCY	100%	100%	67%	22%	5.6%	100%

FIG.8C

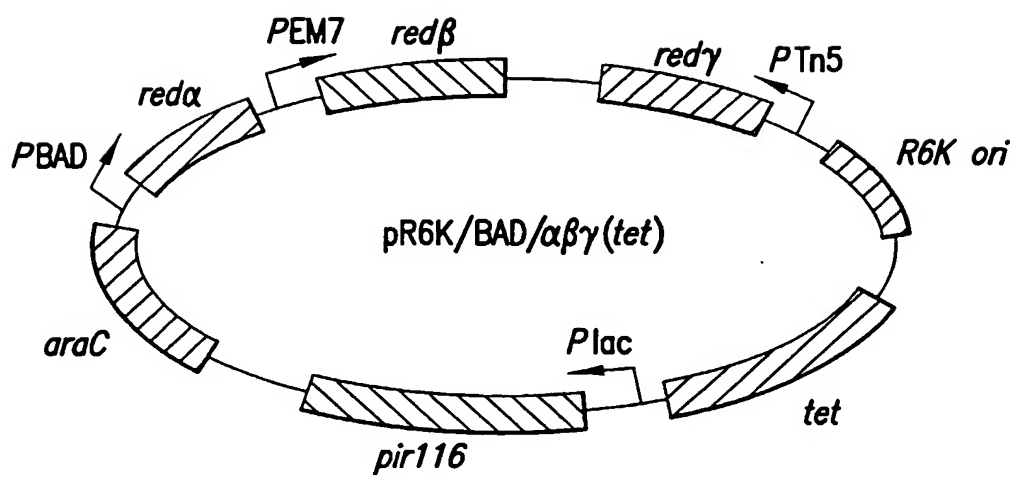


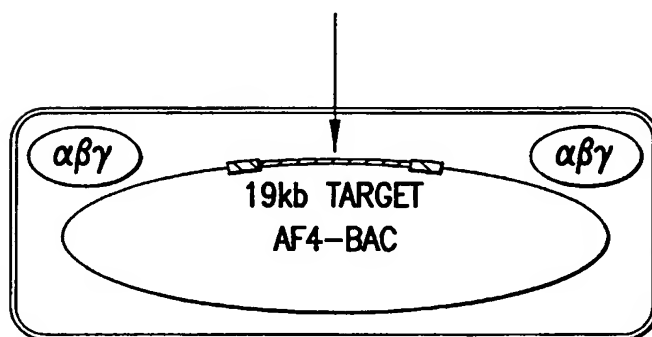
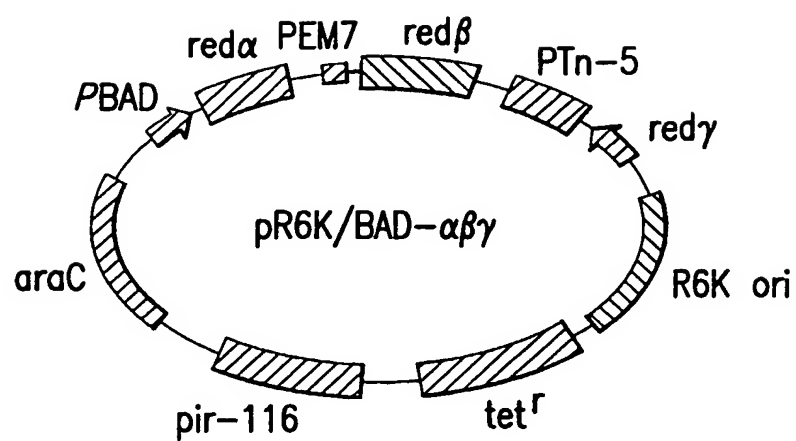
FIG.9A

ET SUBCLONING FROM AF4 BAC

CONSTRUCT	pBAD-αβγ/tet (ColE1 ori)		pR6K/BAD/αβγ	
EXPERIMENT	1	2	1	2
RECOMBINANTS	385	347	~1,200	~1,300

FIG.9B

11/18



HS996 (ORIGINAL BAC HOST)

+

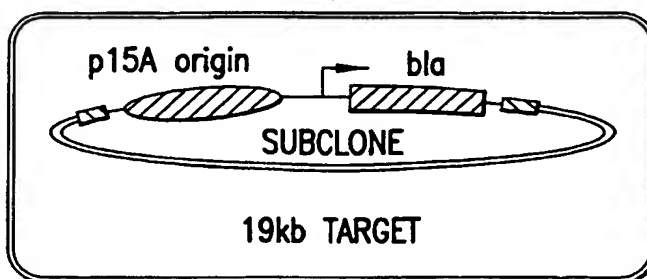
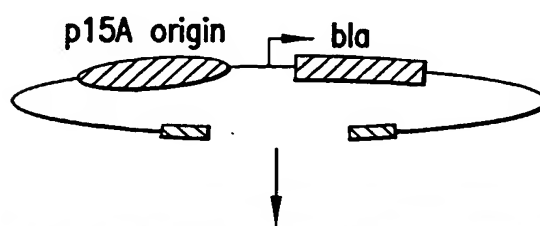


FIG.10A

12/18

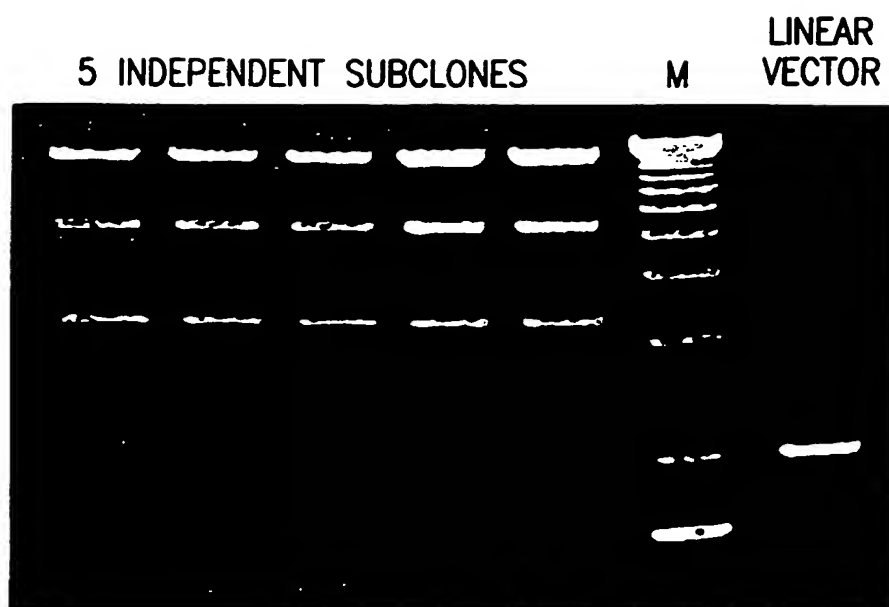


FIG.10B

13/18

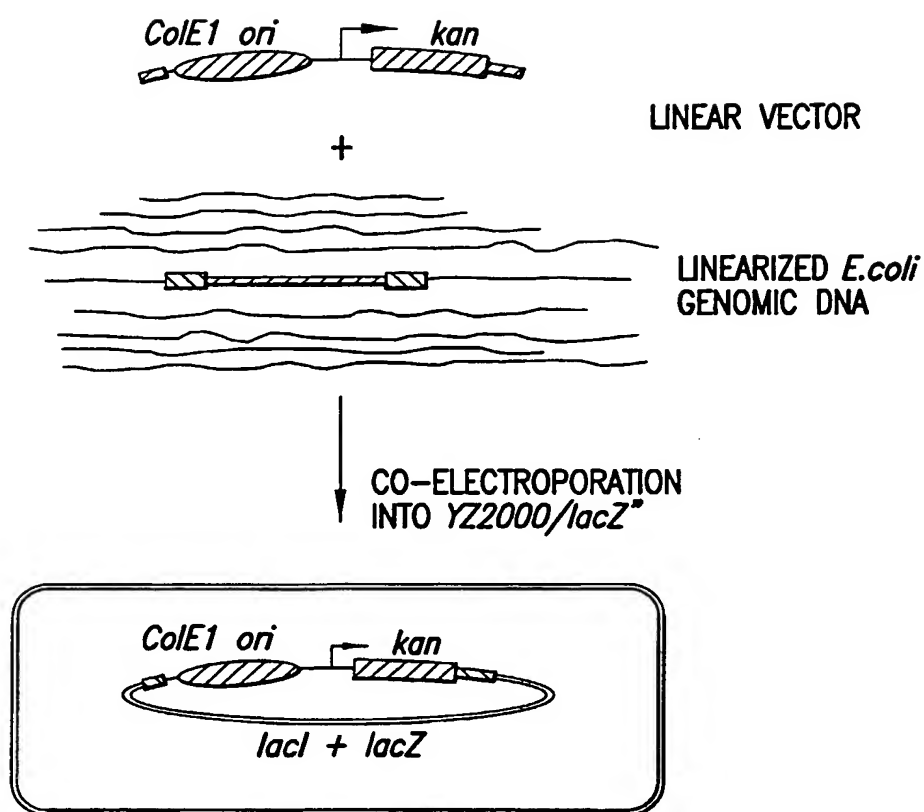


FIG.11A

14/18

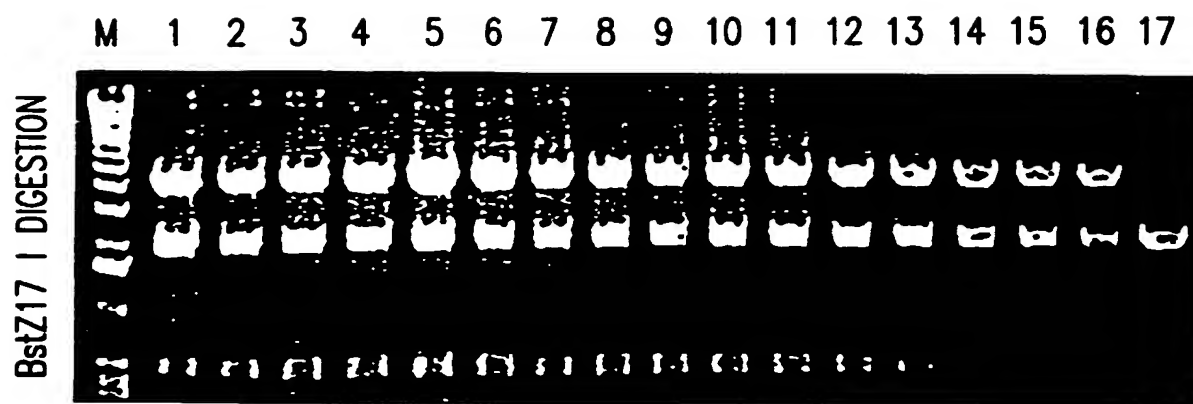


FIG.11B



15/18

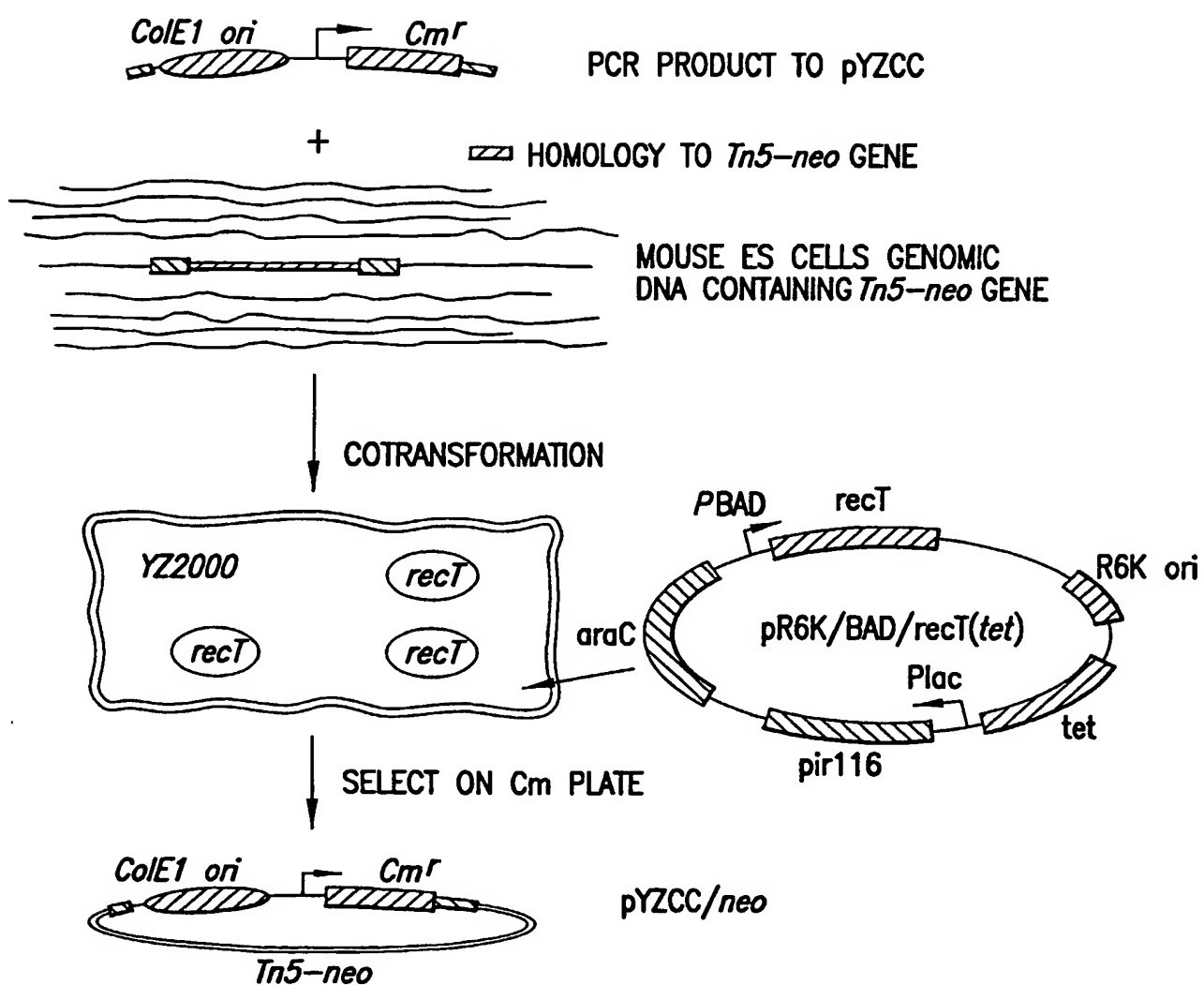


FIG.12A

16/18

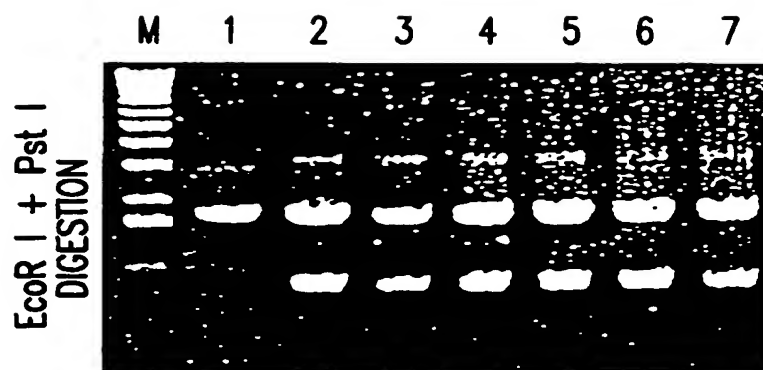


FIG.12B

17/18

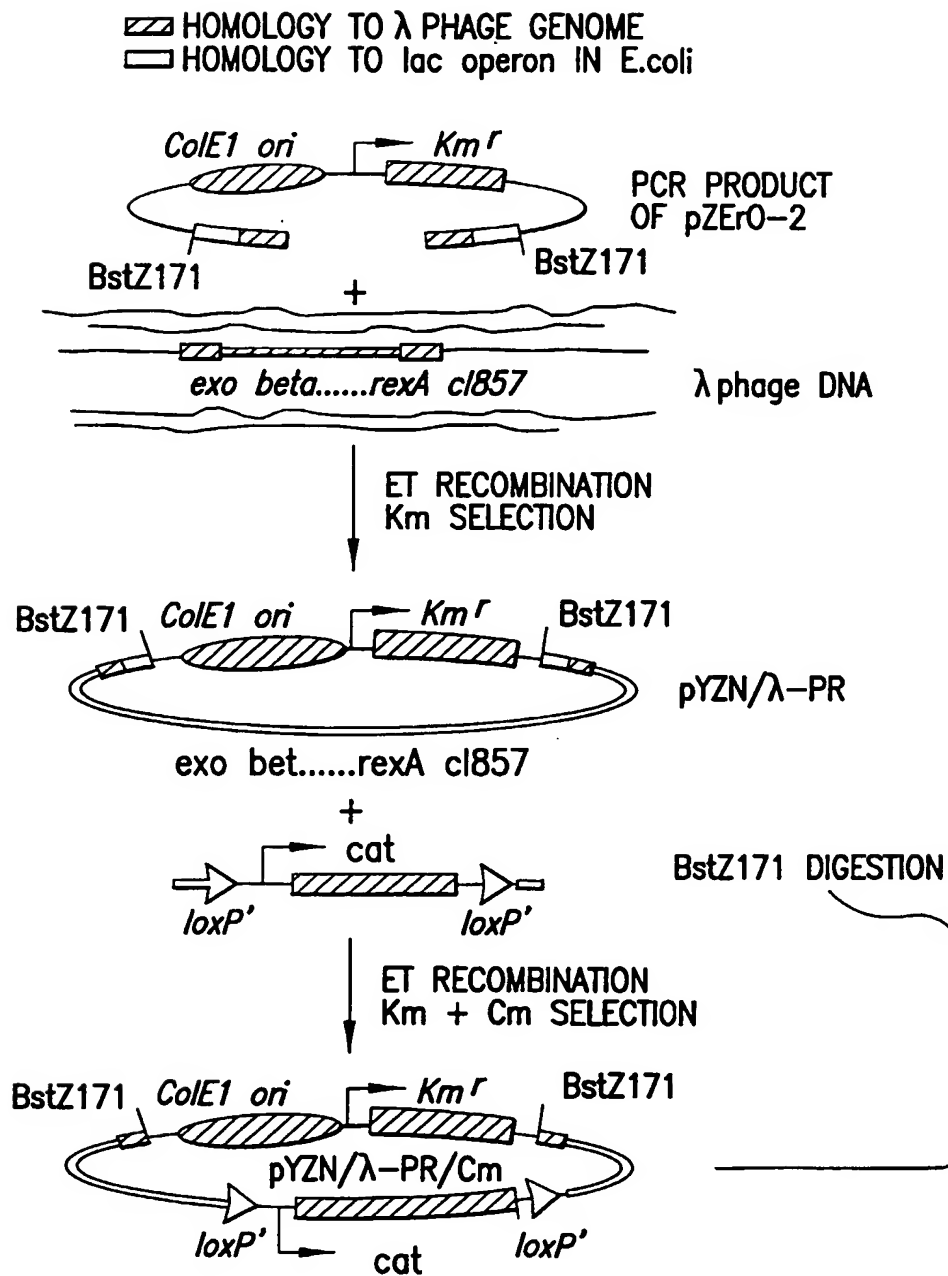


FIG.13A

18/18

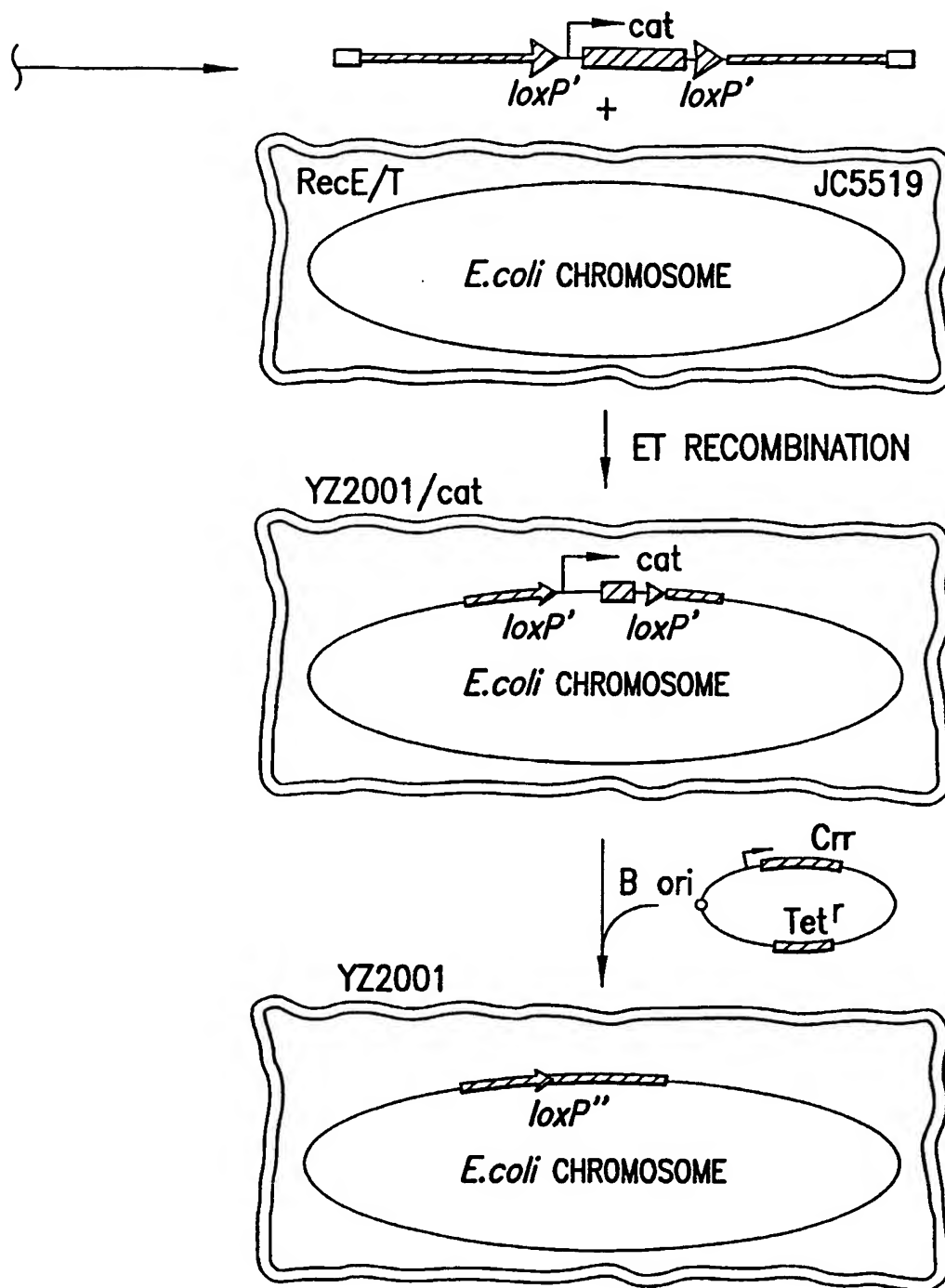


FIG.13B

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 00/06533

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C12N15/10 C12N15/90

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, MEDLINE, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 29837 A (EUROP LAB MOLEKULARBIOLOG ;ZHANG YOUMING (DE); BUCHHOLZ FRANK (DE)) 17 June 1999 (1999-06-17) the whole document	1-48
X	ZHANG Y ET AL: "A new logic for DNA engineering using recombination in Escherichia coli" NATURE GENETICS,US,NEW YORK, NY, vol. 20, no. 2, October 1998 (1998-10), pages 123-128, XP002103371 ISSN: 1061-4036 cited in the application the whole document	1-48
X	WO 98 59060 A (UNIV ROCKEFELLER) 30 December 1998 (1998-12-30) the whole document	1-48

-/--

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

27 November 2000

Date of mailing of the international search report

13/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Chakravarty, A

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 00/06533

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	OLINER J D ET AL: "IN VIVO CLONING OF PCR PRODUCTS IN E.COLI" NUCLEIC ACIDS RESEARCH, GB, OXFORD UNIVERSITY PRESS, SURREY, vol. 21, no. 22, 1993, pages 5192-5197, XP002027426 ISSN: 0305-1048 cited in the application page 5196, column 2, paragraph 3 ---	
A	MUYRERS JOEP P P ET AL: "Rapid modification of bacterial artificial chromosomes by ET-recombination." NUCLEIC ACIDS RESEARCH, vol. 27, no. 6, 15 March 1999 (1999-03-15), pages 1555-1557, XP000941572 ISSN: 0305-1048 -----	

# INTERNATIONAL SEARCH REPORT

information on patent family members

International application No

PC 00/06533

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9929837 A	17-06-1999	AU 1877199 A EP 1034260 A	28-06-1999 13-09-2000
WO 9859060 A	30-12-1998	US 6143566 A AU 7984898 A EP 0998574 A US 6130090 A	07-11-2000 04-01-1999 10-05-2000 10-10-2000